

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Essar Shipping Limited**
 2. Quarter ending - **30-Sep-2021**

i. Composition Of Board Of Director

T i t l e (M r . / M s)	Name of the Dir ect or	D I N	P A N	Cat eg o r y (Ch air p e r s o n / Ex e c u t i v e / N o n - E x e c u t i v e / I n d e p e n d e n t / N o m i n e e)	S u b C a t e g o r y	Ini t i a l D a t e o f A p p o i n t m e n t	Dat e o f App o i n t m e n t	Dat e o f c e s a t i o n	T e n u r e	D a t e o f B i r t h	Wh e t h e r s p e c i a l r e s o l u t i o n p a s s e d ?	D a t e o f p a s s i n g s p e c i a l r e s o l u t i o n	N o. o f D i r e c t o r s h i p i n l i s t e d e n t i t i e s i n c l u d i n g t h i s l i s t e d e n t i t y	No o f I n d e p e n d e n t D i r e c t o r s h i p i n l i s t e d e n t i t y i n c l u d i n g t h i s l i s t e d e n t i t y	No o f m e m b e r s h i p i n A u d i t / S t a k e h o l d e r C o m m i t t e e s i n c l u d i n g t h i s l i s t e d e n t i t y	No o f p o s t o f C h a i r p e r s o n i n A u d i t / S t a k e h o l d e r C o m m i t t e e h e l d i n l i s t e d e n t i t i e s i n c l u d i n g t h i s l i s t e d e n t i t y	Me m b e r s h i p i n C o m m i t t e e s o f t h e C o m p a n y	Rem a r k s
M r.	Nat e s a n S r i n i v a s a n	0 0 0 4 1	A A B P N 3 3	ID,C & NED		23- Ma y- 201 1	29- Dec- 2017		1 2 4	2 7- Ju l- 1 9	Yes	2 2- M a r - 2 0	2	1	1	1	AC,N RC	

		95	13Q						31		19						
M r.	Bh upi nde r Sin gh Ku mar	0084644996D	A A G P K 4 9 9 6 D	ID		07-Au g-2013	29-Dec-2017		97	13-Oct-1938	Yes	22-Mar-2019	1	1	2	1	AC,SC ,NRC
M r.	Raj esh Des ai	08848625	A D X P D 8 2 2 5 4 F	NED		30-Sep - 2020				26-Jun-1958	NA		1	0	0	0	
M r.	Sub ima l Ma hat o	0867107	A D O P M 1 9 3 8 8 C	ED		30-Sep - 2020				22-Sep - 1970	NA		1	0	1	0	
M r.	Jay aku mar	09300654	A A JP J 3 3 8 K	ID		31-Au g-2021	29-Sep-2021		1	16-May - 1961	NA		1	0	0	0	
M r.	Sur esh Ra ma mir tha m	09299459	A D P P R 6 3 2	ID		31-Au g-2021	29-Sep-2021		1	18-Jun-1960	NA		1	0	0	0	

			7 K														
M r s .	Sar asw ath y Sub ram ani an	0 9 2 7 6 0 0 0 3	A K N P S O O O 8 4 K	NED		29- Sep - 202 1			0 2- N o v- 1 9 5 3	NA		1	0	0	0		
M r s .	Sun ita Kot ian	0 8 6 9 9 2 9 6 4 8 P	A D Q P K 1 2 4 8 P	NED		11- Feb - 202 0	30- Sep- 2020	29- Sep - 202 1	0 6- Ju n- 1 9 6 3	NA		1	0	1	0	SC,NR C	

Company Remarks	1. Ms. Sunita Kotian retired by rotation in Annual General Meeting held on 29.09.2021. The Board of Directors will reconstitute committees in the next Board meeting to be held.
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Natesan Srinivasan	ID,C & NED	Chairperson	20-May-2014	
2	Bhupinder Singh Kumar	ID	Member	20-May-2020	
3	Subimal Mahato	ED	Member	30-Sep-2020	

Company Remarks	.1. Ms. Sunita Kotian retired by rotation in Annual General Meeting held on 29.09.2021. The Board of Directors will reconstitute committees in the next Board meeting to be held.
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Bhupinder Singh Kumar	ID	Chairperson	20-May-2014	
2	Sunita Kotian	NED	Member	24-Nov-2020	29-Sep-2021

3	Subimal Mahato	ED	Member	30-Oct-2020	
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Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
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Company Remarks	
Whether Permanent chairperson appointed	

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Bhupinder Singh Kumar	ID	Chairperson	20-May-2014	
2	Natesan Srinivasan	ID,C & NED	Member	20-May-2014	
3	Sunita Kotian	NED	Member	24-Nov-2020	29-Sep-2021

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
08-Jun-2021	11-Aug-2021	Yes	6	2
24-Jun-2021	31-Aug-2021	Yes	6	2

Company Remarks	
Maximum gap between any two consecutive (in number of days)	47

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	08-Jun-2021		Yes	3	2
Audit Committee	24-Jun-2021		Yes	3	2
Audit Committee		11-Aug-2021	Yes	3	2
Nomination & Remuneration Committee	03-May-2021		Yes	3	2

Nomination & Remuneration Committee		31-Aug-2021	Yes	3	2
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Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	47

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Yes	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
 b. Any comments/observations/advice of Board of Directors may be mentioned here:

1. Ms. Sunita Kotian retired by rotation in Annual General Meeting held on 29.09.2021. The Board of Directors will reconstitute committees in the next Board meeting to be held.

Name : Nisha Barnwal
 Designation : Company Secretary & Compliance Officer

ANNEXURE III

Affirmations

Broad Heading	Regulation Number	Compliance Status	Company Remark
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

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Name : **Nisha Barnwal**
Designation : **Company Secretary & Compliance Officer**

ANNEXURE IV

%symbol%	%companyName%	%quarterEnded%
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(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>
0	554	0	0	0	1154	0	0

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>	0	0	0
<i>Promoter Group or any other entity controlled by them</i>	Corporate Guarantee	1691	109091
<i>Directors (including relatives) or any other entity controlled by them</i>	0	0	0
<i>KMPs or any other entity controlled by them</i>	0	0	0

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
<i>Promoter or any other entity controlled by them</i>	0	0	0
<i>Promoter Group or any other entity controlled by them</i>	0	0	0
<i>Directors (including relatives) or any other entity controlled by them</i>	0	0	0
<i>KMPs or any other entity controlled by them</i>	0	0	0

(D) If the Listed Entity would like to provide any other information the same may be indicated here

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Affirmations

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company

Company Remarks in case of non-compliant status

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Name: Ketan Shah
Designation: Chief Financial Officer
Place: Mumbai
Date: 21-Oct-2021