

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Essar Shipping Limited**
 2. Quarter ending - **30-Sep-2020**

i. Composition Of Board Of Director

T i t l e (M r . / M s)	Name of the Dir ect or	D I N	P A N	Cat ego ry (Ch air per son / Exe cutiv e/ No n- Exec utive/ Inde pend ent/ Nomi nee)	S u b C a t e g o r y	Ini tia l D a t e of A p p o i n t m e n t	Dat e of App oint me n t	Dat e of ces sati on	T e n ur e	D a t e of Bir th	Wh eth er spe cial res olut ion pas sed ?	D a t e of p a ss in g s p e ci al res ol uti on	N o. of Di re ct or s hi p in lis ted en titi es in clu ding this lis ted en tity	No of Inde pend ent Dire ctor ship in lis ted entiti es in clu ding this lis ted en tity	No of me mb ers hips in Au dit/ Sta keh old er Co mm itte e(s) in clu ding this lis ted en tity	No of pos t of Ch air per son in Au dit/ St ak eh old er Com mit tee held in lis ted ent itie s in clu ding this lis ted en tity	Me mber ship in Com mit tees of the Com pany	Rem arks
M r.	PR AB HA T KU M AR	0 0 8 4 3 2	A A H P S 9 8	C & NED		06- No v- 201 2				1 1- A u g- 1 9	Yes	2 2- M ar - 2 0	1	0	0	0	SC,NR C	

	SRI VA ST AV A	5 8	8 4 P						4 5		1 9						
M r.	NA TE SA N SRI NI VA SA N	0 0 0 4 1 9 5	A A B N 3 3 1 3 Q	ID		23- Ma y- 201 1	29- Dec- 2017		1 1 2	2 7- Ju l- 1 9 3 1	Yes	2 2- M ar - 2 0 1 9	2	2	0	2	AC,N RC
M r.	BH UP IN DE R SI NG H KU M AR	0 0 2 8 4 6 4 9 9	A A G P K 4 9 9 6 D	ID		07- Au g- 201 3	29- Dec- 2017		8 5	1 3- O ct - 1 9 3 8	Yes	2 2- M ar - 2 0 1 9	1	1	1	1	AC,SC ,NRC
M r.	RA HU L BH AR GA VA	0 7 6 1 8 9 1 5	A C A P B 3 3 9 6 C	ED		14- No v- 201 7		30- Sep - 202 0		0 1- S ep - 1 9 6 0	NA		1	0	0	0	AC,SC
M rs .	SU NI TA KO TI AN	0 8 6 9 9 2 9 6	A D Q P K 1 2 4 8 P	NED		12- Feb - 202 0	30- Sep- 2020			0 6- Ju n- 1 9 6 3	NA		1	0	0	0	
M r.	RA JES H DE	0 8 8 4	A D X P	NED		30- Sep -				2 6- Ju n-	NA		1	0	0	0	

	SA I	8 6 2 5	D 8 2 5 4 F			202 0				1 9 5 8							
M r.	Sub ima l Ma hat o	0 0 8 6 7 1 0 7	A D O P M 9 3 8 8 C	ED		30- Sep - 202 0				2 2- S ep - 1 9 7 0	NA		1	0		0	0

Company Remarks	1.Mr. Rajesh Desai has been appointed as an Non-Executive Director, liable to retire by rotation in 10th AGM held on September 30, 2020. n2.Mr. Subimal Mahato is being appointed as Executive Director in place of Mr. Rahul Bhargava in 10th AGM held on September 30, 2020n3.Ms. Sunita Kotian who was appointed as Additional Director in Board meeting held on February 12, 2020 has been appointed as Non-executive, non-independent Director, liable to retire by rotation in 10th AGM held on September 30 2020
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	NATESAN SRINIVASAN	ID	Chairperson	20-May-2014	
2	BHUPINDER SINGH KUMAR	ID	Member	20-May-2014	
3	RAHUL BHARGAVA	ED	Member	26-May-2020	30-Sep-2020

Company Remarks	Since Mr. Rahul Bhargava retired on 30th September 2020, the committees of the Board would be reconstituted in the ensuing Board meeting. Since Mr. Rahul Bhargava retired on 30th September 2020, the committees of the Board would be reconstituted in the ensuing Board meeting. Since Mr. Rahul Bhargava retired on 30th September 2020, the committees of the Board would be reconstituted in the ensuing Board meeting. Since Mr. Rahul Bhargava retired on 30th September 2020, the committees of the Board would be reconstituted in the ensuing Board meeting.
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
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1	BHUPINDER SINGH KUMAR	ID	Chairperson	20-May-2014	
2	PRABHAT KUMAR SRIVASTAVA	C & NED	Member	13-Nov-2019	
3	RAHUL BHARGAVA	ED	Member	26-May-2020	30-Sep-2020

Company Remarks	Since Mr. Rahul Bhargava retired on 30th September 2020, the committees of the Board would be reconstituted in the ensuing Board meeting. Since Mr. Rahul Bhargava retired on 30th September 2020, the committees of the Board would be reconstituted in the ensuing Board meeting. Since Mr. Rahul Bhargava retired on 30th September 2020, the committees of the Board would be reconstituted in the ensuing Board meeting.
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
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Company Remarks	
Whether Permanent chairperson appointed	

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	NATESAN SRINIVASAN	ID	Chairperson	20-May-2014	
2	BHUPINDER SINGH KUMAR	ID	Member	20-May-2014	
3	PRABHAT KUMAR SRIVASTAVA	C & NED	Member	20-May-2014	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
29-Jun-2020	03-Sep-2020	Yes	5	2

Company Remarks	
Maximum gap between any two consecutive (in number of days)	65

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during	Date(s) of meeting of the	Whether requirement	Number of Directors	Number of independent
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	of the committee in the previous quarter	committee in the relevant quarter	of Quorum met (Yes/No)	present	directors present
Audit Committee		03-Sep-2020	Yes	3	2
Audit Committee	29-Jun-2020		Yes	3	2
Nomination & Remuneration Committee		03-Sep-2020	Yes	3	2
Nomination & Remuneration Committee	29-Jun-2020		Yes	3	2

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	65

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Yes	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	The related party transactions entered during the quarter were in ordinary course of business and were at arms length..The related party transactions entered during the quarter were in ordinary course of business and were at arms length.
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

1.Mr. Rajesh Desai has been appointed as a Non-Executive Director,liable to retire by rotation in 10th AGM held on September 30, 2020. 2.Mr. Subimal Mahato is being appointed as Executive Director in place of Mr. Rahul Bhargava in 10th AGM held on September 30, 2020 3.Ms. Sunita Kotian who was appointed as Additional Director in Board meeting held on February 12, 2020 has been appointed as Non-executive, non-independent Director,liable to retire by rotation in 10th AGM held on September 30, 2020

Name : Jyotsna Gupta
 Designation : Company Secretary & Compliance Officer

ANNEXURE III

Affirmations

Broad Heading	Regulation Number	Compliance Status	Company Remark
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

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Name : Jyotsna Gupta
 Designation : Company Secretary

ANNEXURE IV

%symbol%	%companyName%	%quarterEnded%
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(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			

<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
<i>Promoter or any other entity controlled by them</i>			
<i>Promoter Group or any other entity controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>			
<i>KMPs or any other entity controlled by them</i>			

(D) If the Listed Entity would like to provide any other information the same may be indicated here

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Affirmations
<i>All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company</i>

Company Remarks in case of non-compliant status	
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Name: Designation: anx4Designation Place: Date:
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