

# ANNEXURE I

## Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - Essar Shipping Limited
2. Quarter ending - 30-Sep-2019

### i. Composition Of Board Of Director

T i t l e  ( M r . / M s )	Name of the Director	D I N	P A N	C a t e g o r y (C h a i r p e r s o n / E x e c u t i v e / N o n - E x e c u t i v e / I n d e p e n d e n t / N o m i n e e)	S u b C a t e g o r y	Ini t i a l D a t e o f A p p o i n t m e n t	Dat e o f A p p o i n t m e n t	Date of cessation	T e n u r e	Dat e o f B i r t h	No. of D i r e c t o r s h i p i n l i s t e d e n t i e s i n c l u d i n g t h i s l i s t e d e n t i t y	No o f I n d e p e n d e n t D i r e c t o r s h i p i n l i s t e d e n t i e s i n c l u d i n g t h i s l i s t e d e n t i t y	No o f m e m b e r s h i p i n A u d i t / S t a k e h o l d e r C o m m i t t e e(s) i n c l u d i n g t h i s l i s t e d e n t i t y	No o f p o s t o f C h a i r p e r s o n i n A u d i t / S t a k e h o l d e r C o m m i t t e h e l d i n l i s t e d e n t i e s i n c l u d i n g t h i s l i s t e d e n t i t y	M e m b e r s h i p i n C o m m i t t e e s o f t h e C o m p a n y	Rem a r k s
M r.	P. K. Srivastava	0 0 8 4 3 2 5 8	A A H P S 9 8 8 4 P	C & N E D		06- Nov- 2017	26- Sep- 2018		1 2	11- Au g- 194 5	1	0	0	0	NRC	Original Date of appointment was 6th Nov, 2018 .Reappointed at 8th AG M of the Com



																pany held on Septe mber 26, 2018
M r.	Ranjit Singh	0 7 0 2 1 6 2 2 1	A B A P S 8 1 6 4 J	ED		22- Sep- 2016	29- Dec- 2017	21- Sep- 2019	3 6	07- De c- 196 0	1	0	1	0	SC	Appc inted as Exec utive Direc tor & CEO of the Com pany w.e.f . Septe mber 22, 2016 and further got regul arize d at 7th AG M
M r.	N. Srinivasa n	0 0 0 0 4 1 9 5	A A P B N 3 3 1 3 Q	ID		23- May- 2017	29- Dec- 2017		2 1	27- Jul- 193 1	2	2	0	2	AC, NRC	Reap poin ted a 8th AG M of the held on Sept mbe 26, 2018
M r.	B. S Kumar	0 0 2 8 4 6	A A G P K 4	ID		07- Aug- 2013	29- Dec- 2017		2 1	13- Oct - 193 8	1	1	1	1	AC,S C,N RC	Reap poin men at 7th AG



		4 9	9 9 6 D												M of the Com pany held on Dece mber 29, 2017
M s.	Rahul Bhargava	0 7 6 1 8 9 1 5	A C A P B 3 3 9 6 C	ED		14- Nov- 2017	29- Dec- 2017		2 3	01- Sep - 196 0	1	0	0	0	Appo inted as Addi tiona l Direc tor unde r exec utive categ ory on Nove mber 14, 2017 and furth er regul arise d as Exec utive Direc tor at 7th AG M of the Com pany held on Dece mber 29, 2017
M s.	Neelam Kapoor	0 7 8	A D I	NE D		31- Jul- 2017	29- Dec- 2017		2 6	14- No v-	1	0	2	0	AC,S C Appo inted as



		95198	P K 8 9 4 P						1967							Director and Member of Committees w.e.f July 31, 2017 and further got regularised at 7th AGM
Company Remarks																
Whether Permanent chairperson appointed		Yes														
Whether Chairperson is related to MD or CEO		No														

## ii. Composition of Committees

### a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Neelam Kapoor	NED	Member	31-Jul-2017	
2	N. Srinivasan	ID	Chairperson	22-Sep-2016	
3	B. S Kumar	ID	Member	22-Sep-2016	

Company Remarks					
Whether Permanent chairperson appointed		Yes			

### b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Neelam Kapoor	NED	Member	27-Mar-2019	
2	Ranjit Singh	ED	Member	22-Sep-2016	21-Sep-2019
3	B. S Kumar	ID	Chairperson	21-May-2015	

Company Remarks					
Whether Permanent chairperson appointed		Yes			

### c. Risk Management Committee

Sr.	Name of the Director	Category	Chairperson/Membership	Appointment	Cessation Date
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No.				Date	
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Company Remarks	The Risk Management Committee was dissolved by the Board of Directors in their meeting held on 30th May, 2019.
Whether Permanent chairperson appointed	No

**d. Nomination and Remuneration Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	B. S Kumar	ID	Member	20-May-2014	
2	P. K. Srivastava	C & NED	Member	20-May-2014	
3	N. Srinivasan	ID	Chairperson	20-May-2014	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**iii. Meeting of Board of Directors**

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
30-May-2019	14-Aug-2019	Yes	5	2
29-Jun-2019		Yes	6	2

Company Remarks	
Maximum gap between any two consecutive (in number of days)	45

**iv. Meeting of Committees**

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	30-May-2019	14-Aug-2019	Yes	2	2
Nomination & Remuneration Committee	29-Jun-2019		Yes	3	2
Stakeholders Relationship Committee	30-May-2019		Yes	3	1

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	75

**v. Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	



Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee.	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	The Company has sought and availed an extension of three months from Registrar of Companies, Ahmadabad (Gujarat) to hold its 9th Annual General Meeting before 31st December, 2019. The Company has already intimated to NSE on 9th September, 2019 regarding the extension sort for three months, the company will further update the Stock exchange regarding the date and time to hold its 9th AGM, as an when approved by its Board of Directors.
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## VI. Affirmations

- The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
- The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
  - Audit Committee - **Yes**
  - Nomination & remuneration committee - **Yes**
  - Stakeholders relationship committee - **Yes**
  - Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
- The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
- The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
- This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
  - Any comments/observations/advice of Board of Directors may be mentioned here:

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**Name :** Jyotsna Gupta  
**Designation :** Company Secretary & Compliance Officer

## ANNEXURE III

### Affirmations

Broad Heading	Regulation Number	Compliance Status	Company Remark
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Not Applicable	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Not Applicable	



Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Not Applicable	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Not Applicable	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Not Applicable	

Note:

The Company has sought and availed an extension of three months from Registrar of Companies, Ahmadabad (Gujarat) to hold its 9th Annual General Meeting before 31st December, 2019. The Company has already intimated to NSE on 9th September, 2019 regarding the extension sort for three months, the company will further update the Stock exchange regarding the date and time to hold its 9th AGM, as an when approved by its Board of Directors.

Name :  
 Designation :

  
**Jyotsna Gupta**  
**Company Secretary & Compliance Officer**



