

General information about company	
Scrip code	533149
NSE Symbol	
MSEI Symbol	
ISIN	INE143K01019
Name of the company	Essar Securities Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	3231844			3231844	22.62	3231844		3231844	22.62
(B)	Public	17575	11055910			11055910	77.38	11055910		11055910	77.38
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	17576	14287754			14287754	100	14287754		14287754	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				22.62					3231844
(B)	Public				77.38					10551248
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					13783092

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	3231844			3231844	22.62	3231844		3231844	22.62
Sub-Total (A) (1)		1	3231844			3231844	22.62	3231844		3231844	22.62
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		1	3231844			3231844	22.62	3231844		3231844	22.62
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(f)	Financial Institutions/ Banks	4	2316			2316	0.02	2316		2316	0.02
Sub-Total (B) (1)		4	2316			2316	0.02	2316		2316	0.02
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	16977	3615472			3615472	25.3	3615472		3615472	25.3
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	33	6144633			6144633	43.01	6144633		6144633	43.01
(e)	Any Other (specify)	561	1293489			1293489	9.05	1293489		1293489	9.05
Sub-Total (B) (3)		17571	11053594			11053594	77.36	11053594		11053594	77.36
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		17575	11055910			11055910	77.38	11055910		11055910	77.38
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		17576	14287754			14287754	100	14287754		14287754	100
Total (A+B+C)		17576	14287754			14287754	100	14287754		14287754	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				22.62					3231844
Sub-Total (A) (1)				22.62					3231844
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				22.62					3231844
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(f)				0.02					80
Sub-Total (B) (1)				0.02					80
(3)	Non-institutions								
(a(i))				25.3					3217637
(a(ii))				43.01					6144633
(e)				9.05					1188898
Sub-Total (B) (3)				77.36					10551168
Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)				77.38					10551248
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					13783092
Total (A+B+C)				100					13783092

Any Other (specify)

Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	Vajresh Consultants Limited (formerly known Essar Capital Limited)	
PAN (II)	AABCE7257R	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	3231844	3231844
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3231844	3231844
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	22.62	22.62
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	3231844	3231844
Class eg:y		
Total	3231844	3231844
Total as a % of Total Voting rights	22.62	22.62
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	22.62	22.62
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		

Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	3231844	3231844
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type		

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	Harsha Hitesh Javeri	Hitesh Ramji Javeri	Jaishree Prakash Shah	Prakash Kantilal Shah	Hemant Ratilal Shah	Pratima Prakash Shah	Deep Prakash Shah
PAN (II)	AAEPJ7739F	AABJP4691H	AFXPS8559J	AAFPS2481J	AMCPS0405P	AAPPS4075C	BRNPS2711M
No. of fully paid up equity shares held (IV)	1130000	1000000	594000	490000	479000	462800	449999
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1130000	1000000	594000	490000	479000	462800	449999
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.91	7	4.16	3.43	3.35	3.24	3.15
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1130000	1000000	594000	490000	479000	462800	449999
Class eg:y							
Total	1130000	1000000	594000	490000	479000	462800	449999
Total as a % of Total Voting rights	7.91	7	4.16	3.43	3.35	3.24	3.15
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	7.91	7	4.16	3.43	3.35	3.24	3.15
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1130000	1000000	594000	490000	479000	462800	449999

Reason for not providing PAN							
Reason for not providing PAN							

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		
Serial No.	8	
Name of the Shareholders (I)	Akhil Chandra Deka	Click here to go back
PAN (II)	AHZPD8699N	Total
No. of fully paid up equity shares held (IV)	225414	4831213
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	225414	4831213
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.58	33.81
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	225414	4831213
Class eg:y		
Total	225414	4831213
Total as a % of Total Voting rights	1.58	33.81
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.58	33.81
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	225414	4831213
Reason for not providing PAN		

Reason for not providing PAN		
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Any Other (specify)					
Serial No.	1	2	3	4	
Category	Bodies Corporate	IEPF	Non-Resident Indian (NRI)	Non-Resident Indian (NRI)	
Category / More than 1 percentage	Category	Category	Category	More than 1 percentage of shareholding	
Name of the Shareholders (I)				Krishna Kumar Dharamshi Somaiya	Click here to go back
PAN (II)				AWZPS2876D	Total
No. of the Shareholders (I)	163	1	397	1	561
No. of fully paid up equity shares held (IV)	421346	525016	347127	17011	1293489
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	421346	525016	347127	17011	1293489
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.95	3.67	2.43	0.12	9.05
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	421346	525016	347127	17011	1293489
Class eg:y					
Total	421346	525016	347127	17011	1293489
Total as a % of Total Voting rights	2.95	3.67	2.43	0.12	9.05
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	2.95	3.67	2.43	0.12	9.05
Number of Locked in shares (XII)					
No. (a)					

As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	363040	525016	300842	0	1188898
Reason for not providing PAN					
Reason for not providing PAN					

