

General information about company

Scrip code	533149
NSE Symbol	
MSEI Symbol	
ISIN	INE143K01019
Name of the entity	Essar Securities Limited
Date of start of financial year	01-04-2018
Date of end of financial year	31-03-2019
Reporting Quarter	Quarterly
Date of Report	31-12-2018
Risk management committee	Not Applicable

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory											Textual Information(1)				
Is there any change in information of board of directors compare to previous quarter											Yes				
Wether the listed entity has a Regular Chairperson											No				
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	Somashekhar Basavaraj Malagi	ABWPM8890P	07626139	Non-Executive - Independent Director	Not Applicable		04-11-2016		21	1	2	2		
2	Mr	Godfrey William Pimenta	AAJPP3215D	06630502	Non-Executive - Independent Director	Not Applicable		12-02-2018	13-11-2018	8	1	0	0		
3	Mrs	Priyanka Mayuresh Oka	ALMPD2448Q	08066379	Non-Executive - Non Independent Director	Not Applicable		12-02-2018		10	1	1	1		
4	Mr	Srikanth Rajamani Venkatadriagaram	AENPV4750M	07923382	Non-Executive - Independent Director	Not Applicable		13-11-2018		1	1	1	1		

Text Block

Textual Information(1)

1. Mr. Somashekhar Malagi (DIN:07626139) has been appointed as an Independent Director of the Company for a term of five years with effect from April 1, 2017 to March 31, 2022 in the Annual General Meeting held on 29.09.2017.
2. Mrs. Priyanka Oka (DIN:08066379) has completed tenure as a Director for 10 months and 17 days as on 31.12.2018.
3. Mr. Srikanth Rajamani Venkatadriagaram (DIN: 07923382) has completed tenure as an Additional Director for 1 month and 17 days as on 31.12.2018.
4. Mr. Godfrey Pimenta (DIN: 06630502) has resigned from the directorship of the Company effective from the closure of business hours of 13.11.2018. Accordingly, his number of membership in Audit/Stakeholder Committee and number of post of Chairperson in Audit/Stakeholder Committee held in listed entities is not mentioned.

Annexure 1	
II. Composition of Committees	
Disclosure of notes on composition of committees explanatory	
Is there any change in information of committees compare to previous quarter	Yes

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07626139	Somashekhar Basavaraj Malagi	Non-Executive - Independent Director	Chairperson	04-11-2016		
2	06630502	Godfrey William Pimenta	Non-Executive - Independent Director	Member	12-02-2018	13-11-2018	
3	08066379	Priyanka Mayuresh Oka	Non-Executive - Non Independent Director	Member	12-02-2018		
4	07923382	Srikanth Rajamani Venkatadriagaram	Non-Executive - Independent Director	Member	13-11-2018		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07626139	Somashekhar Basavaraj Malagi	Non-Executive - Independent Director	Chairperson	04-11-2016		
2	06630502	Godfrey William Pimenta	Non-Executive - Independent Director	Member	12-02-2018	13-11-2018	
3	08066379	Priyanka Mayuresh Oka	Non-Executive - Non Independent Director	Member	12-02-2018		
4	07923382	Srikanth Rajamani Venkatadriagaram	Non-Executive - Independent Director	Member	13-11-2018		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07626139	Somashekhar Basavaraj Malagi	Non-Executive - Independent Director	Chairperson	04-11-2016		
2	06630502	Godfrey William Pimenta	Non-Executive - Independent Director	Member	12-02-2018	13-11-2018	
3	08066379	Priyanka Mayuresh Oka	Non-Executive - Non Independent Director	Member	12-02-2018		
4	07923382	Srikanth Rajamani Venkatadriagaram	Non-Executive - Independent Director	Member	13-11-2018		

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1

Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory			
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)
1	10-08-2018		
2		13-11-2018	94

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory

Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)	Name of other committee
1	Audit Committee	13-11-2018	Yes	3	10-08-2018	94	
2	Stakeholders Relationship Committee	13-11-2018	Yes	3	10-08-2018	94	
3	Nomination and remuneration committee	13-11-2018	Yes	3	10-08-2018	94	

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	

Annexure 1**VI. Affirmations**

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	Laxmi Joshi
2	Designation	Company Secretary and Compliance Officer

Signatory Details	
Name of signatory	Laxmi Joshi
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	15-01-2019

