

<b>MSEI Symbol</b>	
Scrip code	533149
NSE Symbol	
MSEI Symbol	
ISIN	INE143K01019
Name of the entity	Essar Securities Limited
Date of start of financial year	01-04-2017
Date of end of financial year	31-03-2018
Reporting Quarter	Yearly
Date of Report	31-03-2018
Risk management committee	Not Applicable

## Annexure I

## Annexure I to be submitted by listed entity on quarterly basis

## I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory											Textual Information(1)				
Is there any change in information of board of directors compare to previous quarter											Yes				
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	Venkatesan Venkataramansinganallur	ABPPV2944N	00004010	Non-Executive - Independent Director	Not Applicable		26-09-2014	12-02-2018	40	1	0	0		
2	Mrs	Suparna Singh	AKCPS9769E	07142898	Non-Executive - Non Independent Director	Not Applicable		31-03-2015	12-02-2018	34	1	0	0		
3	Mr	Somashekhar Basavaraj Malagi	ABWPM8890P	07626139	Non-Executive - Independent Director	Not Applicable		04-11-2016		12	1	3	0		
4	Mr	Godfrey William Pimenta	AAJPP3215D	06630502	Non-Executive - Independent Director	Not Applicable		12-02-2018		1	1	5	0		

Annexure I															
Annexure I to be submitted by listed entity on quarterly basis															
I. Composition of Board of Directors															
Sr	Title (Mr/ Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mrs	Priyanka Mayuresh Oka	ALMPD2448Q	08066379	Non-Executive - Non Independent Director	Not Applicable		12-02-2018		1	1	2	0		

<b>Text Block</b>	
Textual Information(1)	<ol style="list-style-type: none"><li>1. Mr. Venkatesan Venkataramasinganallur (DIN:00004010) was re-appointed as an Independent Director of the Company for a term of 5 years w.e.f. April 1, 2017 to March 31, 2022 on the Board of the Company. He has resigned from the position of Independent Director of the Company effective from the closure of business hours of February 12, 2018. Accordingly, his number of membership in Audit/Stakeholder Committee and number of post of Chairperson in Audit/Stakeholder Committee held in listed entities is not mentioned.</li><li>2. Mrs. Suparna Singh (DIN:07142898) has resigned from the directorship of the Company effective from the closure of business hours of February 12, 2018. Accordingly, her number of membership in Audit/Stakeholder Committee and number of post of Chairperson in Audit/Stakeholder Committee held in listed entities is not mentioned.</li><li>3. Mr. Somashekhar Malagi (DIN:07626139) is appointed as an Independent Director of the Company for a term of five years with effect from April 1, 2017 to March 31, 2022.</li><li>4. Mr. Godfrey Pimenta (DIN: 06630502) and Mrs. Priyanka Oka (DIN: 08066379) have completed tenure as Director for 1 month and 17 days as on 31.03.2018.</li></ol>

<b>Annexure 1</b>	
<b>II. Composition of Committees</b>	
Disclosure of notes on composition of committees explanatory	Textual Information(1)
Is there any change in information of committees compare to previous quarter	Yes

<b>Annexure 1 Text Block</b>	
Textual Information(1)	<ol style="list-style-type: none"><li>1. Mr. Venkatesan Venkataramansinganallur (DIN: 00004010) has resigned from Audit Committee effective from the closure of business hours of February 12, 2018.</li><li>2. Mrs. Suparna Singh (DIN:07142898) has resigned from Nomination and Remuneration Committee and Stakeholders Relationship Committee effective from the closure of business hours of February 12, 2018.</li></ol>

<b>Audit Committee Details</b>					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks
1	07626139	Somashekhar Basavaraj Malagi	Non-Executive - Independent Director	Member	
2	06630502	Godfrey William Pimenta	Non-Executive - Independent Director	Member	
3	08066379	Priyanka Mayuresh Oka	Non-Executive - Non Independent Director	Member	

<b>Nomination and remuneration committee</b>					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks
1	07626139	Somashekhar Basavaraj Malagi	Non-Executive - Independent Director	Member	
2	06630502	Godfrey William Pimenta	Non-Executive - Independent Director	Member	
3	08066379	Priyanka Mayuresh Oka	Non-Executive - Non Independent Director	Member	



<b>Stakeholders Relationship Committee</b>					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks
1	07626139	Somashekhar Basavaraj Malagi	Non-Executive - Independent Director	Member	
2	06630502	Godfrey William Pimenta	Non-Executive - Independent Director	Member	
3	08066379	Priyanka Mayuresh Oka	Non-Executive - Non Independent Director	Member	

<b>Risk Management Committee</b>					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks

<b>Corporate Social Responsibility Committee</b>					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks

<b>Other Committee</b>						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

<b>Annexure 1</b>			
<b>Annexure 1</b>			
<b>III. Meeting of Board of Directors</b>			
Disclosure of notes on meeting of board of directors explanatory			
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)
1	04-12-2017		
2		12-02-2018	69

Annexure 1							
IV. Meeting of Committees							
Disclosure of notes on meeting of committees explanatory							
Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)	Name of other committee
1	Audit Committee	12-02-2018	Yes	2	04-12-2017	69	
2	Nomination and remuneration committee	12-02-2018	Yes	2	04-12-2017	69	
3	Stakeholders Relationship Committee	12-02-2018	Yes	2	04-12-2017	69	

<b>Annexure 1</b>			
<b>V. Related Party Transactions</b>			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	Yes	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

<b>Annexure 1</b>		
<b>VI. Affirmations</b>		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes



<b>Annexure 1</b>		
Sr	Subject	Compliance status
1	Name of signatory	Laxmi Joshi
2	Designation	Company Secretary and Compliance Office

<b>Annexure II</b>				
<b>Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)</b>				
<b>I. Disclosure on website in terms of Listing Regulations</b>				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		www.essar.com
2	Terms and conditions of appointment of independent directors	Yes		www.essar.com
3	Composition of various committees of board of directors	Yes		www.essar.com
4	Code of conduct of board of directors and senior management personnel	Yes		www.essar.com
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		www.essar.com
6	Criteria of making payments to non-executive directors	Yes		www.essar.com
7	Policy on dealing with related party transactions	Yes		www.essar.com
8	Policy for determining 'material' subsidiaries	Yes		www.essar.com
9	Details of familiarization programmes imparted to independent directors	Yes		www.essar.com

<b>Annexure II</b>				
<b>Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)</b>				
<b>I. Disclosure on website in terms of Listing Regulations</b>				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		www.essar.com
11	email address for grievance redressal and other relevant details	Yes		www.essar.com
12	Financial results	Yes		www.essar.com
13	Shareholding pattern	Yes		www.essar.com
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	New name and the old name of the listed entity	NA		

<b>Annexure II</b>				
<b>II. Annual Affirmations</b>				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Review of Compliance Reports	17(3)	Yes	
5	Plans for orderly succession for appointments	17(4)	Yes	
6	Code of Conduct	17(5)	Yes	
7	Fees/compensation	17(6)	Yes	
8	Minimum Information	17(7)	Yes	
9	Compliance Certificate	17(8)	No	The Company is in process of appointing of Chief Executive Officer and Chief Financial Officer.
10	Risk Assessment & Management	17(9)	Yes	

<b>Annexure II</b>				
<b>II. Annual Affirmations</b>				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Performance Evaluation of Independent Directors	17(10)	Yes	
12	Composition of Audit Committee	18(1)	Yes	
13	Meeting of Audit Committee	18(2)	Yes	
14	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
15	Composition of Stakeholder Relationship Committee	20(1) & (2)	Yes	
16	Composition and role of risk management committee	21(1),(2),(3),(4)	NA	
17	Vigil Mechanism	22	Yes	
18	Policy for related party Transaction	23(1),(5),(6),(7) & (8)	Yes	
19	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
20	Approval for material related party transactions	23(4)	Yes	

<b>Annexure II</b>				
<b>II. Annual Affirmations</b>				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
21	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
22	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4), (5) & (6)	NA	
23	Maximum Directorship & Tenure	25(1) & (2)	Yes	
24	Meeting of independent directors	25(3) & (4)	Yes	
25	Familiarization of independent directors	25(7)	Yes	
26	Memberships in Committees	26(1)	Yes	
27	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
28	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
29	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
	Any other information to be provided - Add Notes			

<b>Annexure II</b>		
1	Name of signatory	
2	Designation	

<b>Annexure II</b>		
<b>III. Affirmations</b>		
<b>Sr</b>	<b>Particulars</b>	<b>Compliance status (Yes/No/NA)</b>
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA
	Any other information to be provided	



<b>Annexure II</b>		
1	Name of signatory	Laxmi Joshi
2	Designation	Company Secretary and Compliance Office

<b>Signatory Details</b>	
Name of signatory	Laxmi Joshi
Designation of person	Company Secretary and Compliance Office
Place	Mumbai
Date	13-04-2018

