

<b>General information about company</b>	
Scrip code	533149
Name of the company	Essar Securities Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	7904557			7904557	55.32	7904557		7904557	55.32
(B)	Public	26175	6383197			6383197	44.68	6383197		6383197	44.68
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	<b>Total</b>	<b>26176</b>	<b>14287754</b>			<b>14287754</b>	<b>100</b>	<b>14287754</b>		<b>14287754</b>	<b>100</b>

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (X1)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				55.32					7904557
(B)	Public				44.68					5400227
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					13304784

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg: y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	7904557			7904557	55.32	7904557		7904557	55.32
Sub-Total (A)(1)		1	7904557			7904557	55.32	7904557		7904557	55.32
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	7904557			7904557	55.32	7904557		7904557	55.32
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	2	200			200	0	200		200	0
(f)	Financial Institutions/ Banks	5	2356			2356	0.02	2356		2356	0.02
Sub-Total (B)(1)		7	2556			2556	0.02	2556		2556	0.02
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	24947	2665480			2665480	18.66	2665480		2665480	18.66
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	18	2092670			2092670	14.65	2092670		2092670	14.65
(e)	Any Other (specify)	1203	1622491			1622491	11.36	1622491		1622491	11.36

Sub-Total (B)(3)		26168	6380641			6380641	44.66	6380641		6380641	44.66
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)		26175	6383197			6383197	44.68	6383197		6383197	44.68
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		26176	14287754			14287754	100	14287754		14287754	100
Total (A+B+C)		26176	14287754			14287754	100	14287754		14287754	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (X1)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				55.32					7904557
Sub-Total (A) (1)				55.32					7904557
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				55.32					7904557
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0					0
(f)				0.02					120
Sub-Total (B) (1)				0.02					120
( 3 )	Non-institutions								
(a(i))				18.66					1898670
(a(ii))				14.65					2092670
(e)				11.36					1408767
Sub-Total (B) (3)				44.66					5400107
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				44.68					5400227
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2 )				100					13304784
Total (A+B+C )				100					13304784

<b>Any Other (specify)</b>		
Serial No.	1	
Category	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	Essar Capital Limited	
PAN (II)	AABCE7257R	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	7904557	7904557
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	7904557	7904557
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	55.32	55.32
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	7904557	7904557
Class eg: y		
Total	7904557	7904557
Total as a % of Total Voting rights	55.32	55.32
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of		

convertible securities (as a percentage of diluted share capital) (X1)= (VII) + (X) As a % of (A+B+C2)	55.32	55.32
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	7904557	7904557
Reason for not providing PAN		

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>			
Serial No.	1	2	
Name of the Shareholders (I)	HITESH RAMJI JAVERI	HARSHA HITESH JAVERI	<a href="#">Click here to go back</a>
PAN (II)	AABPJ4691H	AAEPJ7739F	Total
No. of fully paid up equity shares held (IV)	1000000	405000	1405000
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1000000	405000	1405000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7	2.83	9.83
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	1000000	405000	1405000
Class eg: y			
Total	1000000	405000	1405000
Total as a % of Total Voting rights	7	2.83	9.83
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of	7	2.83	9.83

(A+B+C2)			
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	1000000	405000	1405000
Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)					
Serial No.	1	2	3	4	
Category	Bodies Corporate	Bodies Corporate	NRI	NRI	
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	Category	More than 1 percentage of shareholding	
Name of the Shareholders (I)		SAMCO SECURITIES LIMITED		KRISHNA KUMAR DHARAMSHI SOMAIYA	<a href="#">Click here to go back</a>
PAN (II)		AAICS2455A		AWZPS2876D	Total
No. of the Shareholders (I)	248	1	951	1	1199
No. of fully paid up equity shares held (IV)	1224443	446850	395988	155111	1620431
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1224443	446850	395988	155111	1620431
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	8.57	3.13	2.77	1.09	11.34
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	1224443	446850	395988	155111	1620431
Class eg: y					
Total	1224443	446850	395988	155111	1620431
Total as a % of Total Voting rights	8.57	3.13	2.77	1.09	11.34
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					

Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X1)= (VII) + (X) As a % of (A+B+C2)	8.57	3.13	2.77	1.09	11.34
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	1163541	446850	245226	0	1408767
Reason for not providing PAN					
Reason for not providing PAN					

