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General information about company

Scrip code	533149
Name of the company	ESSAR SECURITIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Table I - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category Y (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid- up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rightsheld in each class of securities		
								No of Voting (XIV) Rights		
								Class eg: X	Class eg:Y	Total
(A)	Promoter & Promoter Group	1	10704557			10704557	74.92	10,704,557.00		10,704,557.00
(B)	Public	26161	3583197			3583197	25.08	3583197.00		3,583,197.00
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total	26162	14287754			14287754	100	14287754.00		14287754.00

Total as a % of (A+B+C)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
74.92				74.92			4286320	40.04	10704557
25.08				25.08					2591132
100.00				100			4286320	30.00	13295689

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
						No of Voting (XIV) Rights		Total as a % of Total Voting rights		No. (a)	As a % of total Shares held (b)		
						Class eg: X	Total						
A Table II - Statement showing shareholding pattern of the Promoter and Promoter Group													
(1) Indian													
(a)	Individuals/Hindu undivided Family												
(b)	Central Government/ State Government(s)												
(c)	Financial Institutions/ Banks												
(d)	Any Other (specify)		1	10704557	10704557	74.92	10704557.00	10704557	74.92	74.92	4286320	40.04	10704557
Sub-Total (A)(1)			1	10704557	10704557	74.92	10704557.00	10704557	74.92	74.92	4286320	40.04	10704557
(2) Foreign													
(a)	Individuals (NonResident Individuals/ Foreign Individuals)												
(b)	Government												
(c)	Institutions												
(d)	Foreign Portfolio Investor												
(e)	Any Other (specify)												
Sub-Total (A)(2)													
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)			1	10704557	10704557	74.92	10704557.00	10704557	74.92	74.92	4286320	40.04	10704557
Details of Shares which remain unclaimed for Promoter & Promoter Group													
B Table III - Statement showing shareholding pattern of the Public shareholder Note : Kindly show details of shareholders having more than one percentage of total no of shares. Please refer software manual.													
(1) Institutions													
(a)	Mutual Funds		2	200	200	0.00	200	200	0.00	0.00			0
(b)	Venture Capital Funds												
(c)	Alternate Investment Funds												
(d)	Foreign Venture Capital Investors												
(e)	Foreign Portfolio Investors												
(f)	Financial Institutions/ Banks		5	2356	2356	0.02	2356	2356	0.02	0.02			120
(g)	Insurance Companies												
(h)	Provident Funds/ Pension Funds												
(i)	Any Other (specify)												
Sub-Total (B)(1)			7	2556	2556	0.02	2556.00	2556	0.02	0.02			120
(2) Central Government/ State Government(s)/ President of India													
Sub-Total (B)(2)													
(3) Non-institutions													
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		24972	1956918	1956918	13.70	1956918	1956918	13.70	13.70			1182023
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		7	897461	897461	6.28	897461	897461	6.28	6.28			897461
(b)	NBFCs registered with RBI												
(c)	Employee Trusts												
(d)	Overseas Depositories (holding DRs) (balancing figure)												
(e)	Any Other (specify)		1175	726262	726262	5.08	726262	726262	5.08	5.08			511528
Sub-Total (B)(3)			26154	3580641	3580641	25.06	3580641.00	3580641	25.06	25.06			2591012
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)			26161	3583197	3583197	25.08	3583197.00	3583197	25.08	25.08			2591132
Details of the shareholders acting as persons in Concert for Public													
Details of Shares which remain unclaimed for Public													

C Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder												
(1)	Custodian/DR Holder - Name of DR Holders (if Available)											
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)											
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)												
Total (A+B+C2)		26162	14287754	14287754	100.00	14287754.00	14287754	100.00	100.00		13295689	
Total (A+B+C)		26162	14287754	14287754	100.00	14287754.00	14287754	100.00	100.00	4286320	30.00	13295689
Disclosure of notes on shareholding pattern					Add Notes							