

**General information about company**

|                                                                                            |                          |
|--------------------------------------------------------------------------------------------|--------------------------|
| Scrip code                                                                                 | 533149                   |
| NSE Symbol                                                                                 |                          |
| MSEI Symbol                                                                                |                          |
| ISIN                                                                                       | INE143K01019             |
| Name of the company                                                                        | ESSAR SECURITIES LIMITED |
| Whether company is SME                                                                     | No                       |
| Class of Security                                                                          | Equity Shares            |
| Type of report                                                                             | Quarterly                |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)                | 31-03-2023               |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date |                          |
| Shareholding pattern filed under                                                           | Regulation 31 (1) (b)    |
| Whether the listed entity is Public Sector Undertaking (PSU)?                              | No                       |

| Declaration |                                                                                        |        |                             |                    |                          |
|-------------|----------------------------------------------------------------------------------------|--------|-----------------------------|--------------------|--------------------------|
| Sr. No.     | Particular                                                                             | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public |
| 1           | Whether the Listed Entity has issued any partly paid up shares?                        | No     | No                          | No                 | No                       |
| 2           | Whether the Listed Entity has issued any Convertible Securities ?                      | No     | No                          | No                 | No                       |
| 3           | Whether the Listed Entity has issued any Warrants ?                                    | No     | No                          | No                 | No                       |
| 4           | Whether the Listed Entity has any shares against which depository receipts are issued? | No     | No                          | No                 | No                       |
| 5           | Whether the Listed Entity has any shares in locked-in?                                 | No     | No                          | No                 | No                       |
| 6           | Whether any shares held by promoters are pledge or otherwise encumbered?               | No     | No                          |                    |                          |
| 7           | Whether company has equity shares with differential voting rights?                     | No     | No                          | No                 | No                       |
| 8           | Whether the listed entity has any significant beneficial owner?                        | No     |                             |                    |                          |

**Table VI - Statement showing foreign ownership limits**

| <b>Particular</b>                     | <b>Approved limits (%)</b> | <b>Limits utilized (%)</b> |
|---------------------------------------|----------------------------|----------------------------|
| As on shareholding date               | 0                          | 0                          |
| As on the end of previous 1st quarter | 0                          | 0                          |
| As on the end of previous 2nd quarter | 0                          | 0                          |
| As on the end of previous 3rd quarter | 0                          | 0                          |
| As on the end of previous 4th quarter | 0                          | 0                          |

**Table I - Summary Statement holding of specified securities**

| Category (I) | Category of shareholder (II)   | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) |            |          |                         |
|--------------|--------------------------------|----------------------------|----------------------------------------------|----------------------------------------------|---------------------------------------------------|------------------------------------------------|-----------------------------------------------------------------------------------------------------|---------------------------------------------------------------|------------|----------|-------------------------|
|              |                                |                            |                                              |                                              |                                                   |                                                |                                                                                                     | No of Voting (XIV) Rights                                     |            |          | Total as a % of (A+B+C) |
|              |                                |                            |                                              |                                              |                                                   |                                                |                                                                                                     | Class eg: X                                                   | Class eg:y | Total    |                         |
| (A)          | Promoter & Promoter Group      | 1                          | 3209343                                      |                                              |                                                   | 3209343                                        | 22.46                                                                                               | 3209343                                                       |            | 3209343  | 22.46                   |
| (B)          | Public                         | 20822                      | 11078411                                     |                                              |                                                   | 11078411                                       | 77.54                                                                                               | 11078411                                                      |            | 11078411 | 77.54                   |
| (C)          | Non Promoter-Non Public        |                            |                                              |                                              |                                                   |                                                |                                                                                                     |                                                               |            |          |                         |
| (C1)         | Shares underlying DRs          |                            |                                              |                                              |                                                   |                                                |                                                                                                     |                                                               |            |          |                         |
| (C2)         | Shares held by Employee Trusts |                            |                                              |                                              |                                                   |                                                |                                                                                                     |                                                               |            |          |                         |
|              | Total                          | 20823                      | 14287754                                     |                                              |                                                   | 14287754                                       | 100                                                                                                 | 14287754                                                      |            | 14287754 | 100                     |

**Table I - Summary Statement holding of specified securities**

| Category<br>(I) | Category of shareholder<br>(II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) |                                 | Number of Shares pledged or otherwise encumbered (XIII) |                                 | Number of equity shares held in dematerialized form (XIV) | Sub-categorization of shares |                   |                    |
|-----------------|---------------------------------|-----------------------------------------------------------------|----------------------------------------------------|------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------|---------------------------------|---------------------------------------------------------|---------------------------------|-----------------------------------------------------------|------------------------------|-------------------|--------------------|
|                 |                                 |                                                                 |                                                    |                                                                                          |                                                                                                                                                         | No. (a)                          | As a % of total Shares held (b) | No. (a)                                                 | As a % of total Shares held (b) |                                                           | Sub-category (i)             | Sub-category (ii) | Sub-category (iii) |
| (A)             | Promoter & Promoter Group       |                                                                 |                                                    |                                                                                          | 22.46                                                                                                                                                   |                                  |                                 |                                                         |                                 | 3209343                                                   |                              |                   |                    |
| (B)             | Public                          |                                                                 |                                                    |                                                                                          | 77.54                                                                                                                                                   |                                  |                                 |                                                         |                                 | 10588789                                                  | 0                            | 0                 | 0                  |
| (C)             | Non Promoter-Non Public         |                                                                 |                                                    |                                                                                          |                                                                                                                                                         |                                  |                                 |                                                         |                                 |                                                           |                              |                   |                    |
| (C1)            | Shares underlying DRs           |                                                                 |                                                    |                                                                                          |                                                                                                                                                         |                                  |                                 |                                                         |                                 |                                                           |                              |                   |                    |
| (C2)            | Shares held by Employee Trusts  |                                                                 |                                                    |                                                                                          |                                                                                                                                                         |                                  |                                 |                                                         |                                 |                                                           |                              |                   |                    |
|                 | Total                           |                                                                 |                                                    |                                                                                          | 100                                                                                                                                                     |                                  |                                 |                                                         |                                 | 13798132                                                  | 0                            | 0                 | 0                  |

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

| Sr.                                                                 | Category & Name of the Shareholders (I)                                              | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)<br>As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) |            |         |                                     |
|---------------------------------------------------------------------|--------------------------------------------------------------------------------------|----------------------------|----------------------------------------------|----------------------------------------------|---------------------------------------------------|----------------------------------------------|--------------------------------------------------------------------------------------------------------|---------------------------------------------------------------|------------|---------|-------------------------------------|
|                                                                     |                                                                                      |                            |                                              |                                              |                                                   |                                              |                                                                                                        | No of Voting (XIV) Rights                                     |            |         | Total as a % of Total Voting rights |
|                                                                     |                                                                                      |                            |                                              |                                              |                                                   |                                              |                                                                                                        | Class eg: X                                                   | Class eg:y | Total   |                                     |
| A                                                                   | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group |                            |                                              |                                              |                                                   |                                              |                                                                                                        |                                                               |            |         |                                     |
| (1)                                                                 | Indian                                                                               |                            |                                              |                                              |                                                   |                                              |                                                                                                        |                                                               |            |         |                                     |
| (d)                                                                 | Any Other (specify)                                                                  | 1                          | 3209343                                      |                                              |                                                   | 3209343                                      | 22.46                                                                                                  | 3209343                                                       |            | 3209343 | 22.46                               |
| Sub-Total (A)(1)                                                    |                                                                                      | 1                          | 3209343                                      |                                              |                                                   | 3209343                                      | 22.46                                                                                                  | 3209343                                                       |            | 3209343 | 22.46                               |
| (2)                                                                 | Foreign                                                                              |                            |                                              |                                              |                                                   |                                              |                                                                                                        |                                                               |            |         |                                     |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) |                                                                                      | 1                          | 3209343                                      |                                              |                                                   | 3209343                                      | 22.46                                                                                                  | 3209343                                                       |            | 3209343 | 22.46                               |
| B                                                                   | Table III - Statement showing shareholding pattern of the Public shareholder         |                            |                                              |                                              |                                                   |                                              |                                                                                                        |                                                               |            |         |                                     |
| (1)                                                                 | Institutions (Domestic)                                                              |                            |                                              |                                              |                                                   |                                              |                                                                                                        |                                                               |            |         |                                     |
| (d)                                                                 | Banks                                                                                | 4                          | 2316                                         |                                              |                                                   | 2316                                         | 0.02                                                                                                   | 2316                                                          |            | 2316    | 0.02                                |
| Sub-Total (B)(1)                                                    |                                                                                      | 4                          | 2316                                         |                                              |                                                   | 2316                                         | 0.02                                                                                                   | 2316                                                          |            | 2316    | 0.02                                |
| (2)                                                                 | Institutions (Foreign)                                                               |                            |                                              |                                              |                                                   |                                              |                                                                                                        |                                                               |            |         |                                     |
| (3)                                                                 | Central Government / State Government(s)                                             |                            |                                              |                                              |                                                   |                                              |                                                                                                        |                                                               |            |         |                                     |
| (4)                                                                 | Non-institutions                                                                     |                            |                                              |                                              |                                                   |                                              |                                                                                                        |                                                               |            |         |                                     |
| (f)                                                                 | Investor Education and Protection Fund (IEPF)                                        | 1                          | 524936                                       |                                              |                                                   | 524936                                       | 3.67                                                                                                   | 524936                                                        |            | 524936  | 3.67                                |

|                                                              |                                                                                               |       |          |  |  |          |       |          |  |          |       |
|--------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-------|----------|--|--|----------|-------|----------|--|----------|-------|
| (g)                                                          | Resident Individuals holding nominal share capital up to Rs. 2 lakhs                          | 20293 | 5558315  |  |  | 5558315  | 38.9  | 5558315  |  | 5558315  | 38.9  |
| (h)                                                          | Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs                   | 49    | 4443162  |  |  | 4443162  | 31.1  | 4443162  |  | 4443162  | 31.1  |
| (i)                                                          | Non Resident Indians (NRIs)                                                                   | 378   | 163719   |  |  | 163719   | 1.15  | 163719   |  | 163719   | 1.15  |
| (l)                                                          | Bodies Corporate                                                                              | 96    | 215852   |  |  | 215852   | 1.51  | 215852   |  | 215852   | 1.51  |
| (m)                                                          | Any Other (specify)                                                                           | 1     | 170111   |  |  | 170111   | 1.19  | 170111   |  | 170111   | 1.19  |
| Sub-Total (B)(4)                                             |                                                                                               | 20818 | 11076095 |  |  | 11076095 | 77.52 | 11076095 |  | 11076095 | 77.52 |
| Total Public Shareholding<br>(B)=(B)(1)+(B)(2)+(B)(3)+(B)(4) |                                                                                               | 20822 | 11078411 |  |  | 11078411 | 77.54 | 11078411 |  | 11078411 | 77.54 |
| C                                                            | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder |       |          |  |  |          |       |          |  |          |       |
| Total ( A+B+C2 )                                             |                                                                                               | 20823 | 14287754 |  |  | 14287754 | 100   | 14287754 |  | 14287754 | 100   |
| Total (A+B+C )                                               |                                                                                               | 20823 | 14287754 |  |  | 14287754 | 100   | 14287754 |  | 14287754 | 100   |



|                                                                         |                                                                                               |  |  |       |  |  |  |  |          |   |   |   |
|-------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|--|--|-------|--|--|--|--|----------|---|---|---|
| (4)                                                                     | Non-institutions                                                                              |  |  |       |  |  |  |  |          |   |   |   |
| (f)                                                                     |                                                                                               |  |  | 3.67  |  |  |  |  | 524936   | 0 | 0 | 0 |
| (g)                                                                     |                                                                                               |  |  | 38.9  |  |  |  |  | 5173936  | 0 | 0 | 0 |
| (h)                                                                     |                                                                                               |  |  | 31.1  |  |  |  |  | 4443162  | 0 | 0 | 0 |
| (i)                                                                     |                                                                                               |  |  | 1.15  |  |  |  |  | 119018   | 0 | 0 | 0 |
| (l)                                                                     |                                                                                               |  |  | 1.51  |  |  |  |  | 157546   | 0 | 0 | 0 |
| (m)                                                                     |                                                                                               |  |  | 1.19  |  |  |  |  | 170111   | 0 | 0 | 0 |
| Sub-Total (B)<br>(4)                                                    |                                                                                               |  |  | 77.52 |  |  |  |  | 10588709 | 0 | 0 | 0 |
| Total Public<br>Shareholding<br>(B)=(B)(1)+<br>(B)(2)+(B)(3)+<br>(B)(4) |                                                                                               |  |  | 77.54 |  |  |  |  | 10588789 | 0 | 0 | 0 |
| C                                                                       | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder |  |  |       |  |  |  |  |          |   |   |   |
| Total (A+B+C2 )                                                         |                                                                                               |  |  | 100   |  |  |  |  | 13798132 |   |   |   |
| Total (A+B+C )                                                          |                                                                                               |  |  | 100   |  |  |  |  | 13798132 |   |   |   |

| Any Other (specify)                                                                                 |                                             |                                       |
|-----------------------------------------------------------------------------------------------------|---------------------------------------------|---------------------------------------|
| Searial No.                                                                                         | 1                                           |                                       |
| Category                                                                                            | Other                                       | <a href="#">Click here to go back</a> |
|                                                                                                     |                                             |                                       |
| Name of the Shareholders (I)                                                                        | Imperial Consultants and Securities Limited |                                       |
| PAN (II)                                                                                            | AAACG4413G                                  | Total                                 |
| No. of the Shareholders (I)                                                                         | 1                                           | 1                                     |
| No. of fully paid up equity shares held (IV)                                                        | 3209343                                     | 3209343                               |
| No. Of Partly paid-up equity shares held (V)                                                        |                                             |                                       |
| No. Of shares underlying Depository Receipts (VI)                                                   |                                             |                                       |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI)                                                      | 3209343                                     | 3209343                               |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 22.46                                       | 22.46                                 |
| Number of Voting Rights held in each class of securities (IX)                                       |                                             |                                       |
| Class eg: X                                                                                         | 3209343                                     | 3209343                               |
| Class eg:y                                                                                          |                                             |                                       |
| Total                                                                                               | 3209343                                     | 3209343                               |

|                                                                                                                                                        |         |         |
|--------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------|
| Total as a % of Total Voting rights                                                                                                                    | 22.46   | 22.46   |
| No. Of Shares Underlying Outstanding convertible securities (X)                                                                                        |         |         |
| No. of Shares Underlying Outstanding Warrants (Xi)                                                                                                     |         |         |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)                                                               |         |         |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 22.46   | 22.46   |
| Number of Locked in shares (XII)                                                                                                                       |         |         |
| No. (a)                                                                                                                                                |         |         |
| As a % of total Shares held (b)                                                                                                                        |         |         |
| Number of Shares pledged or otherwise encumbered (XIII)                                                                                                |         |         |
| No. (a)                                                                                                                                                |         |         |
| As a % of total Shares held (b)                                                                                                                        |         |         |
| Number of equity shares held in                                                                                                                        | 3209343 | 3209343 |

|                                 |          |  |
|---------------------------------|----------|--|
| dematerialized<br>form (XIV)    |          |  |
| Reason for not providing PAN    |          |  |
| Reason for not<br>providing PAN |          |  |
| Shareholder type                | Promoter |  |

| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.   |                      |                     |             |                                       |
|-----------------------------------------------------------------------------------------------------|----------------------|---------------------|-------------|---------------------------------------|
| Searial No.                                                                                         | 1                    | 2                   | 3           |                                       |
| Name of the Shareholders (I)                                                                        | Harsha Hitesh Zaveri | Hitesh Ramji Javeri | Anand Mohan | <a href="#">Click here to go back</a> |
| PAN (II)                                                                                            | AAEPJ7739F           | AABPJ4691H          | AGBPA8783D  | Total                                 |
| No. of fully paid up equity shares held (IV)                                                        | 1130000              | 1000011             | 211111      | 2341122                               |
| No. Of Partly paid-up equity shares held (V)                                                        |                      |                     |             |                                       |
| No. Of shares underlying Depository Receipts (VI)                                                   |                      |                     |             |                                       |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI)                                                      | 1130000              | 1000011             | 211111      | 2341122                               |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 7.91                 | 7                   | 1.48        | 16.39                                 |
| Number of Voting Rights held in each class of securities (IX)                                       |                      |                     |             |                                       |
| Class eg: X                                                                                         | 1130000              | 1000011             | 211111      | 2341122                               |
| Class eg:y                                                                                          |                      |                     |             |                                       |
| Total                                                                                               | 1130000              | 1000011             | 211111      | 2341122                               |
| Total as a % of Total Voting rights                                                                 | 7.91                 | 7                   | 1.48        | 16.39                                 |
| No. Of Shares Underlying Outstanding                                                                |                      |                     |             |                                       |

|                                                                                                                                                        |         |         |        |         |
|--------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------|--------|---------|
| convertible securities (X)                                                                                                                             |         |         |        |         |
| No. of Shares Underlying Outstanding Warrants (Xi)                                                                                                     |         |         |        |         |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)                                                               |         |         |        |         |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 7.91    | 7       | 1.48   | 16.39   |
| Number of Locked in shares (XII)                                                                                                                       |         |         |        |         |
| No. (a)                                                                                                                                                |         |         |        |         |
| As a % of total Shares held (b)                                                                                                                        |         |         |        |         |
| Number of equity shares held in dematerialized form (XIV)                                                                                              | 1130000 | 1000011 | 211111 | 2341122 |
| Reason for not providing PAN                                                                                                                           |         |         |        |         |
| Reason for not providing PAN                                                                                                                           |         |         |        |         |
| Sub-categorization of shares                                                                                                                           |         |         |        |         |
| Shareholding (No. of shares) under                                                                                                                     |         |         |        |         |
| Sub-category (i)                                                                                                                                       | 0       | 0       | 0      | 0       |

|                    |   |   |   |   |
|--------------------|---|---|---|---|
| Sub-category (ii)  | 0 | 0 | 0 | 0 |
| Sub-category (iii) | 0 | 0 | 0 | 0 |

| Any Other (specify)                                                                                 |                                        |                                       |
|-----------------------------------------------------------------------------------------------------|----------------------------------------|---------------------------------------|
| Searial No.                                                                                         | 1                                      |                                       |
| Category                                                                                            | Non-Resident Indian (NRI)              |                                       |
| Category / More than 1 percentage                                                                   | More than 1 percentage of shareholding |                                       |
| Name of the Shareholders (I)                                                                        | KRISHNA KUMAR DHARAMSHI SOMAIYA        | <a href="#">Click here to go back</a> |
| PAN (II)                                                                                            | AWZPS2876D                             | Total                                 |
| No. of the Shareholders (I)                                                                         | 1                                      | 0                                     |
| No. of fully paid up equity shares held (IV)                                                        | 170111                                 | 0                                     |
| No. Of Partly paid-up equity shares held (V)                                                        |                                        |                                       |
| No. Of shares underlying Depository Receipts (VI)                                                   |                                        |                                       |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI)                                                      | 170111                                 | 0                                     |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.19                                   | 0                                     |
| Number of Voting Rights held in each class of securities (IX)                                       |                                        |                                       |
| Class eg: X                                                                                         | 170111                                 | 0                                     |
| Class eg:y                                                                                          |                                        |                                       |

|                                                                                                                                                                                   |        |   |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------|---|
| Total                                                                                                                                                                             | 170111 | 0 |
| Total as a % of<br>Total Voting rights                                                                                                                                            | 1.19   | 0 |
| No. Of Shares<br>Underlying<br>Outstanding<br>convertible<br>securities (X)                                                                                                       |        |   |
| No. of Shares<br>Underlying<br>Outstanding<br>Warrants (Xi)                                                                                                                       |        |   |
| No. Of Shares<br>Underlying<br>Outstanding<br>convertible<br>securities and No.<br>Of Warrants (Xi)<br>(a)                                                                        |        |   |
| Shareholding , as a<br>% assuming full<br>conversion of<br>convertible<br>securities (as a<br>percentage of<br>diluted share<br>capital) (XI)=<br>(VII)+(X) As a %<br>of (A+B+C2) | 1.19   | 0 |
| Number of Locked in shares (XII)                                                                                                                                                  |        |   |
| No. (a)                                                                                                                                                                           |        |   |
| As a % of total<br>Shares held (b)                                                                                                                                                |        |   |
| Number of equity<br>shares held in<br>dematerialized<br>form (XIV)                                                                                                                | 0      | 0 |
| Reason for not providing PAN                                                                                                                                                      |        |   |

|                                    |   |   |
|------------------------------------|---|---|
| Reason for not providing PAN       |   |   |
| Sub-categorization of shares       |   |   |
| Shareholding (No. of shares) under |   |   |
| Sub-category (i)                   | 0 | 0 |
| Sub-category (ii)                  | 0 | 0 |
| Sub-category (iii)                 | 0 | 0 |

