

General information about company	
Scrip code	533149
NSE Symbol	
MSEI Symbol	
ISIN	INE143K01019
Name of the entity	Essar Securities Limited
Date of start of financial year	01-04-2020
Date of end of financial year	31-03-2021
Reporting Quarter	Half Yearly
Date of Report	30-09-2020
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I																				
Annexure I to be submitted by listed entity on quarterly basis																				
I. Composition of Board of Directors																				
Disclosure of notes on composition of board of directors explanatory														Textual Information(1)						
Wether the listed entity has a Regular Chairperson														No						
Whether Chairperson is related to MD or CEO														No						
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re- appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	SOMASHEKHAR BASAVARAJ MALAGI	ABWPM8890P	07626139	Non- Executive - Independent Director	Not Applicable		19- 01- 1952	No		04-11-2016			42	1	1	3	2		
2	Mrs	Priyanka Mayuresh Oka	ALMPD2448Q	08066379	Non- Executive - Independent Director	Not Applicable		15- 04- 1982	No		12-02-2018			31	1	0	2	0		
3	Mr	SRIKANTH R VENKATADRIAGARAM	AENPV4750M	07923382	Non- Executive - Independent Director	Not Applicable		07- 05- 1973	No		13-11-2018			22	1	1	2	0		

Text Block	
Textual Information(1)	<div>1. Mr. Somashekhar B. Malagi (DIN:07626139) has been appointed as an Independent Director of the Company for a term of five years with effect from April 1, 2017 to March 31, 2022 in the Annual General Meeting held on 29.09.2017.</div> <div>2. Mrs. Priyanka Oka (DIN:08066379) has completed tenure as a Director for 31 months and 17 days as on 30.09.2020.</div> <div>3. Mr. Srikanth R. Venkatadriagaram (DIN:07923382) has completed tenure as an Independent Director for 22 month and 17 days as on 30.09.2020.</div>

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07626139	SOMASHEKHAR BASAVARAJ MALAGI	Non-Executive - Independent Director	Chairperson	04-11-2016		
2	08066379	Priyanka Mayuresh Oka	Non-Executive - Independent Director	Member	12-02-2018		
3	07923382	SRIKANTH R VENKATADRIAGARAM	Non-Executive - Independent Director	Member	13-11-2018		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07626139	SOMASHEKHAR BASAVARAJ MALAGI	Non-Executive - Independent Director	Chairperson	04-11-2016		
2	08066379	Priyanka Mayuresh Oka	Non-Executive - Independent Director	Member	12-02-2018		
3	07923382	SRIKANTH R VENKATADRIAGARAM	Non-Executive - Independent Director	Member	13-11-2018		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07626139	SOMASHEKHAR BASAVARAJ MALAGI	Non-Executive - Independent Director	Chairperson	04-11-2016		
2	08066379	Priyanka Mayuresh Oka	Non-Executive - Independent Director	Member	12-02-2018		
3	07923382	SRIKANTH R VENKATADRIAGARAM	Non-Executive - Independent Director	Member	13-11-2018		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1							
Annexure 1							
III. Meeting of Board of Directors							
Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	29-06-2020				Yes	2	1
2		19-08-2020	50		Yes	3	2
3		14-09-2020	25		Yes	3	2

Annexure 1								
IV. Meeting of Committees								
Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reson for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	Audit Committee	29-06-2020				Yes	2	1
2	Audit Committee	14-09-2020	76			Yes	3	2
3	Nomination and remuneration committee	14-09-2020				Yes	3	2

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Rachana H Trivedi
2	Designation	Company Secretary and Compliance Officer

Annexure III				
III. Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	No	The Annual General Meeting of the Company to be held in December 2020
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	No	The Annual General Meeting of the Company to be held in December 2020
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	No	The Annual General Meeting of the Company to be held in December 2020
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	No	The Annual General Meeting of the Company to be held in December 2020
5	Whether “Corporate Governance Report” disclosed in Annual Report	34(3) read with para C of Schedule V	No	The Annual General Meeting of the Company to be held in December 2020
Any other information to be provided				

Annexure III		
1	Name of signatory	Rachana H Trivedi
2	Designation	Company Secretary and Compliance Officer

Signatory Details	
Name of signatory	Rachana H Trivedi
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	14-10-2020

