

General information about company	
Scrip code	533149
NSE Symbol	
MSEI Symbol	
ISIN	INE143K01019
Name of the entity	Essar Securities Limited
Date of start of financial year	01-04-2019
Date of end of financial year	31-03-2020
Reporting Quarter	Quarterly
Date of Report	31-12-2019
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

## Annexure I

### Annexure I to be submitted by listed entity on quarterly basis

#### I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory												Textual Information(1)					
Wether the listed entity has a Regular Chairperson												No					
Whether Chairperson is related to MD or CEO												No					
me of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	N pr
shekhar B. ji	ABWPM8890P	07626139	Non-Executive - Independent Director	Not Applicable		19-01-1952	NA		04-11-2016			33	1	1	4	2	
ika Oka	ALMPD2448Q	08066379	Non-Executive - Non Independent Director	Not Applicable		15-04-1982	NA		12-02-2018			22	1	0	2	0	
uth R. tadiagaram	AENPV4750M	07923382	Non-Executive - Independent Director	Not Applicable		07-05-1973	NA		13-11-2018			13	1	1	2	0	

Text Block	
Textual Information(1)	<p>1. Mr. Somashekhar B. Malagi (DIN:07626139) has been appointed as an Independent Director of the Company for a term of five years with effect from April 1, 2017 to March 31, 2022 in the Annual General Meeting held on 29.09.2017.</p> <p>2. Mrs. Priyanka Oka (DIN:08066379) has completed tenure as a Director for 22 months and 17 days as on 31.12.2019.</p> <p>3. Mr. Srikanth R. Venkatadriagaram (DIN:07923382) has completed tenure as an Independent Director for 13 month and 17 days as on 31.12.2019.</p>

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07626139	Somashekhar B. Malagi	Non-Executive - Independent Director	Chairperson	04-11-2016		
2	08066379	Priyanka Oka	Non-Executive - Non Independent Director	Member	12-02-2018		
3	07923382	Srikanth R. Venkatadriagaram	Non-Executive - Independent Director	Member	13-11-2018		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07626139	Somashekhar B. Malagi	Non-Executive - Independent Director	Chairperson	04-11-2016		
2	08066379	Priyanka Oka	Non-Executive - Non Independent Director	Member	12-02-2018		
3	07923382	Srikanth R. Venkatadriagaram	Non-Executive - Independent Director	Member	13-11-2018		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07626139	Somashekhar B. Malagi	Non-Executive - Independent Director	Chairperson	04-11-2016		
2	08066379	Priyanka Oka	Non-Executive - Non Independent Director	Member	12-02-2018		
3	07923382	Srikanth R. Venkatadriagaram	Non-Executive - Independent Director	Member	13-11-2018		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks



Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1							
Annexure 1							
III. Meeting of Board of Directors							
Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	13-08-2019				Yes	3	2
2		12-11-2019	90		Yes	3	2

Annexure 1								
IV. Meeting of Committees								
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Disclosure of notes on meeting of committees explanatory				Number of Directors present*	No. of Independent Directors attending the meeting*
			Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)		
1	Audit Committee	13-08-2019				Yes	3	2
2	Audit Committee	12-11-2019	90			Yes	3	2
3	Nomination and remuneration committee	13-08-2019				Yes	3	2
4	Nomination and remuneration committee	12-11-2019	90			Yes	3	2
5	Stakeholders Relationship Committee	13-08-2019				Yes	3	2
6	Stakeholders Relationship Committee	12-11-2019	90			Yes	3	2

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	

## Annexure 1

### VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Laxmi Joshi
2	Designation	Company Secretary and Compliance Officer

Signatory Details	
Name of signatory	Laxmi Joshi
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	03-01-2020

