

General information about company	
Scrip code	533149
NSE Symbol	
MSEI Symbol	
ISIN	INE143K01019
Name of the company	Essar Securities Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	3231844			3231844	22.62	3231844		3231844	22.62
(B)	Public	17457	11055910			11055910	77.38	11055910		11055910	77.38
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	17458	14287754			14287754	100	14287754		14287754	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				22.62					3231844
(B)	Public				77.38					10558587
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					13790431

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	3231844			3231844	22.62	3231844		3231844	22.62
Sub-Total (A) (1)		1	3231844			3231844	22.62	3231844		3231844	22.62
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		1	3231844			3231844	22.62	3231844		3231844	22.62
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(f)	Financial Institutions/ Banks	4	2316			2316	0.02	2316		2316	0.02
Sub-Total (B) (1)		4	2316			2316	0.02	2316		2316	0.02
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	16897	3686789			3686789	25.8	3686789		3686789	25.8
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	35	6283722			6283722	43.98	6283722		6283722	43.98
(e)	Any Other (specify)	521	1083083			1083083	7.58	1083083		1083083	7.58
Sub-Total (B) (3)		17453	11053594			11053594	77.36	11053594		11053594	77.36
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		17457	11055910			11055910	77.38	11055910		11055910	77.38
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		17458	14287754			14287754	100	14287754		14287754	100
Total (A+B+C)		17458	14287754			14287754	100	14287754		14287754	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				22.62					3231844
Sub-Total (A) (1)				22.62					3231844
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				22.62					3231844
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(f)				0.02					80
Sub-Total (B) (1)				0.02					80
(3)	Non-institutions								
(a(i))				25.8					3295191
(a(ii))				43.98					6283722
(e)				7.58					979594
Sub-Total (B) (3)				77.36					10558507
Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)				77.38					10558587
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					13790431
Total (A+B+C)				100					13790431

Any Other (specify)		
Searial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	Vajresh Consultants Limited (formerly known as Essar Capital Limited)	
PAN (II)	AABCE7257R	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	3231844	3231844
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3231844	3231844
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	22.62	22.62
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	3231844	3231844
Class eg:y		
Total	3231844	3231844
Total as a % of Total Voting rights	22.62	22.62
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	22.62	22.62
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		

Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	3231844	3231844
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.						
Searial No.	1	2	3	4	5	6
Name of the Shareholders (I)	VIPUL JAYANTILAL MODI	HARSHA HITESH JAVERI	HITESH RAMJI JAVERI	JAISHREE PRAKASH SHAH	HEMANT RATILAL SHAH	AKHIL CHANDRA DEKA
PAN (II)	AABPM1417A	AAEPJ7739F	AABPJ4691H	AFXPS8559J	AMCPS0405P	AHZPD8699N
No. of fully paid up equity shares held (IV)	1402800	1130000	1000000	594000	503200	226414
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1402800	1130000	1000000	594000	503200	226414
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.82	7.91	7	4.16	3.52	1.58
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	1402800	1130000	1000000	594000	503200	226414
Class eg:y						
Total	1402800	1130000	1000000	594000	503200	226414
Total as a % of Total Voting rights	9.82	7.91	7	4.16	3.52	1.58
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	9.82	7.91	7	4.16	3.52	1.58
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	1402800	1130000	1000000	594000	503200	226414

Reason for not providing PAN						
Reason for not providing PAN						

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	
Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	4856414
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4856414
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	33.99
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	4856414
Class eg:y	
Total	4856414
Total as a % of Total Voting rights	33.99
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	33.99
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	4856414
Reason for not providing PAN	

Reason for not providing PAN	
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Any Other (specify)					
Searial No.	1	2	3	4	
Category	Bodies Corporate	IEPF	Non-Resident Indian (NRI)	Non-Resident Indian (NRI)	
Category / More than 1 percentage	Category	Category	Category	More than 1 percentage of shareholding	
Name of the Shareholders (I)				KRISHNA KUMAR DHARAMSHI SOMAIYA	Click here to go back
PAN (II)				AWZPS2876D	Total
No. of the Shareholders (I)	130	1	390	1	521
No. of fully paid up equity shares held (IV)	234567	525016	153389	170111	912972
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	234567	525016	153389	170111	912972
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.64	3.67	1.07	1.19	6.38
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	234567	525016	153389	170111	912972
Class eg:y					
Total	234567	525016	153389	170111	912972
Total as a % of Total Voting rights	1.64	3.67	1.07	1.19	6.38
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.64	3.67	1.07	1.19	6.38
Number of Locked in shares (XII)					
No. (a)					

As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	176261	525016	108206	170111	809483
Reason for not providing PAN					
Reason for not providing PAN					

significant beneficial owners														
Details of the SBO				Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
SBO No.	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
									Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	