

General information about company	
Scrip code	533149
NSE Symbol	
MSEI Symbol	
ISIN	INE143K01019
Name of the company	Essar Securities Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	3231844			3231844	22.62	3231844		3231844	22.62
(B)	Public	26571	11055910			11055910	77.38	11055910		11055910	77.38
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	26572	14287754			14287754	100	14287754		14287754	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				22.62					3231844
(B)	Public				77.38					10078727
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					13310571

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	3231844			3231844	22.62	3231844		3231844	22.62
Sub-Total (A) (1)		1	3231844			3231844	22.62	3231844		3231844	22.62
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		1	3231844			3231844	22.62	3231844		3231844	22.62
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	2	200			200	0	200		200	0
(f)	Financial Institutions/ Banks	5	2356			2356	0.02	2356		2356	0.02
Sub-Total (B) (1)		7	2556			2556	0.02	2556		2556	0.02
( 3 )	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	25373	4040252			4040252	28.28	4040252		4040252	28.28
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	31	4707840			4707840	32.95	4707840		4707840	32.95
(e)	Any Other (specify)	1160	2305262			2305262	16.13	2305262		2305262	16.13
Sub-Total (B) (3)		26564	11053354			11053354	77.36	11053354		11053354	77.36
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		26571	11055910			11055910	77.38	11055910		11055910	77.38
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		26572	14287754			14287754	100	14287754		14287754	100
Total (A+B+C )		26572	14287754			14287754	100	14287754		14287754	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				22.62					3231844
Sub-Total (A) (1)				22.62					3231844
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				22.62					3231844
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0					0
(f)				0.02					120
Sub-Total (B) (1)				0.02					120
( 3 )	Non-institutions								
(a(i))				28.28					3278235
(a(ii))				32.95					4707840
(e)				16.13					2092532
Sub-Total (B) (3)				77.36					10078607
Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)				77.38					10078727
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					13310571
Total (A+B+C )				100					13310571

Any Other (specify)		
Searial No.	1	
Category	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	Vajresh Consultants Limited (formerly known as Essar Capital Limited)	
PAN (II)	AABCE7257R	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	3231844	3231844
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3231844	3231844
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	22.62	22.62
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	3231844	3231844
Class eg:y		
Total	3231844	3231844
Total as a % of Total Voting rights	22.62	22.62
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	22.62	22.62
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		

Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	3231844	3231844
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type		



<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>						
Searial No.	1	2	3	4	5	
Name of the Shareholders (I)	HARSHA HITESH JAVERI	HITESH RAMJI JAVERI	JAISHREE PRAKASH SHAH	HEMANT RATILAL SHAH	AKHIL CHANDRA DEKA	<a href="#">Click here to go back</a>
PAN (II)	AAEPJ7739F	AABPJ4691H	AFXPS8559J	AMCPS0405P	AHZPD8699N	Total
No. of fully paid up equity shares held (IV)	1130000	1000000	594000	479000	218821	3421821
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1130000	1000000	594000	479000	218821	3421821
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.91	7	4.16	3.35	1.53	23.95
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	1130000	1000000	594000	479000	218821	3421821
Class eg:y						
Total	1130000	1000000	594000	479000	218821	3421821
Total as a % of Total Voting rights	7.91	7	4.16	3.35	1.53	23.95
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	7.91	7	4.16	3.35	1.53	23.95
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	1130000	1000000	594000	479000	218821	3421821

Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)					
Searial No.	1	2	3	4	
Category	Bodies Corporate	Bodies Corporate	Non-Resident Indian (NRI)	Non-Resident Indian (NRI)	
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	Category	More than 1 percentage of shareholding	
Name of the Shareholders (I)		SAMCO SECURITIES LIMITED		KRISHNA KUMAR DHARAMSHI SOMAIYA	Click here to go back
PAN (II)		AAICS2455A		AWZPS2876D	Total
No. of the Shareholders (I)	194	1	962	1	1156
No. of fully paid up equity shares held (IV)	1851657	1422582	451545	170111	2303202
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1851657	1422582	451545	170111	2303202
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	12.96	9.96	3.16	1.19	16.12
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	1851657	1422582	451545	170111	2303202
Class eg:y					
Total	1851657	1422582	451545	170111	2303202
Total as a % of Total Voting rights	12.96	9.96	3.16	1.19	16.12
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	12.96	9.96	3.16	1.19	16.12
Number of Locked in shares (XII)					
No. (a)					

As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	1790755	1422582	301777	0	2092532
Reason for not providing PAN					
Reason for not providing PAN					

