

General information about company	
Scrip code	533149
NSE Symbol	
MSEI Symbol	
ISIN	INE143K01019
Name of the entity	Essar Securities Limited
Date of start of financial year	01-04-2018
Date of end of financial year	31-03-2019
Reporting Quarter	Half Yearly
Date of Report	30-09-2018
Risk management committee	Not Applicable

Annexure I															
Annexure I to be submitted by listed entity on quarterly basis															
I. Composition of Board of Directors															
Disclosure of notes on composition of board of directors explanatory												Textual Information(1)			
Is there any change in information of board of directors compare to previous quarter												Yes			
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	Somashekhar Basavaraj Malagi	ABWPM8890P	07626139	Non-Executive - Independent Director	Not Applicable		04-11-2016		18	1	4	0		
2	Mr	Godfrey William Pimenta	AAJPP3215D	06630502	Non-Executive - Independent Director	Not Applicable		12-02-2018		7	1	5	1		
3	Mrs	Priyanka Mayuresh Oka	ALMPD2448Q	08066379	Non-Executive - Non Independent Director	Not Applicable		12-02-2018		7	1	2	0		

Text Block	
Textual Information(1)	<p>1. Mr. Somashekhar Malagi (DIN:07626139) has been appointed as an Independent Director of the Company for a term of five years with effect from April 1, 2017 to March 31, 2022 in the Annual General Meeting held on 29.09.2017.</p> <p>2. Mr. Godfrey Pimenta (DIN:06630502) and Mrs. Priyanka Oka (DIN:08066379) have completed tenure as Director for 7 months and 17 days as on 30.09.2018.</p> <p>3. Mr. Godfrey Pimenta has been appointed as an Independent Director of the Company for a term of five years with effect from February 12, 2018 to February 11, 2023 in the Annual General Meeting held on 28.09.2018.</p>

<b>Annexure 1</b>	
<b>II. Composition of Committees</b>	
Disclosure of notes on composition of committees explanatory	
Is there any change in information of committees compare to previous quarter	No

Audit Committee Details					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks

Nomination and remuneration committee					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks

Stakeholders Relationship Committee					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks

Risk Management Committee					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks



Corporate Social Responsibility Committee					
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Remarks

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1			
Annexure 1			
III. Meeting of Board of Directors			
Disclosure of notes on meeting of board of directors explanatory			
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)
1	29-05-2018		
2		10-08-2018	72

Annexure 1							
IV. Meeting of Committees							
Disclosure of notes on meeting of committees explanatory							
Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)	Name of other committee
1	Audit Committee	10-08-2018	Yes	3	29-05-2018	72	
2	Stakeholders Relationship Committee	10-08-2018	Yes	3	29-05-2018	72	
3	Nomination and remuneration committee	10-08-2018	Yes	3	29-05-2018	72	

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	

## Annexure 1

### VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Laxmi Joshi
2	Designation	Company Secretary and Compliance Office

<b>Annexure III</b>				
<b>Annexure III to be submitted by listed entity at the end of 6 months after end of financial year along-with second quarter report of next financial year</b>				
<b>I. Affirmations</b>				
Sr	Broad heading	Regulation Number	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	No	There is no designated Chairperson of the Committee. The Chairperson for the each meeting of the Committee is elected amongst committee members present in the meeting.
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	No	There is no designated Chairperson of the Committee. The Chairperson for the each meeting of the Committee is elected amongst committee members present in the meeting.
4	Whether “Corporate Governance Report” disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	



Annexure III		
1	Name of signatory	Laxmi Joshi
2	Designation	Company Secretary and Compliance Office

Signatory Details	
Name of signatory	Laxmi Joshi
Designation of person	Company Secretary and Compliance Office
Place	Mumbai
Date	05-10-2018

