

General information about company	
Scrip code	533149
NSE Symbol	
MSEI Symbol	
ISIN	INE143K01019
Name of the company	Essar Securities Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	2919574			2919574	20.43	2919574		2919574	20.43
(B)	Public	26599	11368180			11368180	79.57	11368180		11368180	79.57
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	26600	14287754			14287754	100	14287754		14287754	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				20.43					2919574
(B)	Public				79.57					10387301
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					13306875

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	2919574			2919574	20.43	2919574		2919574	20.43
Sub-Total (A)(1)		1	2919574			2919574	20.43	2919574		2919574	20.43
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		1	2919574			2919574	20.43	2919574		2919574	20.43
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	2	200			200	0	200		200	0
(f)	Financial Institutions/ Banks	5	2356			2356	0.02	2356		2356	0.02
Sub-Total (B)(1)		7	2556			2556	0.02	2556		2556	0.02
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	25383	3853272			3853272	26.97	3853272		3853272	26.97
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	32	3863435			3863435	27.04	3863435		3863435	27.04
(e)	Any Other (specify)	1177	3648917			3648917	25.54	3648917		3648917	25.54

Sub-Total (B)(3)		26592	11365624			11365624	79.55	11365624		11365624	79.55
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)		26599	11368180			11368180	79.57	11368180		11368180	79.57
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		26600	14287754			14287754	100	14287754		14287754	100
Total (A+B+C)		26600	14287754			14287754	100	14287754		14287754	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				20.43					2919574
Sub-Total (A) (1)				20.43					2919574
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				20.43					2919574
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0					0
(f)				0.02					120
Sub-Total (B) (1)				0.02					120
(3)	Non-institutions								
(a(i))				26.97					3088543
(a(ii))				27.04					3863435
(e)				25.54					3435203
Sub-Total (B) (3)				79.55					10387181
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				79.57					10387301
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					13306875
Total (A+B+C)				100					13306875

Any Other (specify)		
Searial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	Essar Capital Limited	
PAN (II)	AABCE7257R	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	2919574	2919574
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2919574	2919574
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	20.43	20.43
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	2919574	2919574
Class eg:y		
Total	2919574	2919574
Total as a % of Total Voting rights	20.43	20.43
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of		

convertible securities (as a percentage of diluted share capital) (XI)= (VII) + (X) As a % of (A+B+C2)	20.43	20.43
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	2919574	2919574
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.				
Searial No.	1	2	3	
Name of the Shareholders (I)	Harsha Hitesh Javeri	Hitesh Ramji Javeri	Alpa Parimal Mehta	Click here to go back
PAN (II)	AAEPJ7739F	AABPJ4691H	AGMPV8072D	Total
No. of fully paid up equity shares held (IV)	1030000	1000000	402610	2432610
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1030000	1000000	402610	2432610
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.21	7	2.82	17.03
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	1030000	1000000	402610	2432610
Class eg:y				
Total	1030000	1000000	402610	2432610
Total as a % of Total Voting rights	7.21	7	2.82	17.03
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of	7.21	7	2.82	17.03

(A+B+C2)				
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	1030000	1000000	402610	2432610
Reason for not providing PAN				
Reason for not providing PAN				

Any Other (specify)						
Searial No.	1	2	3	4	5	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Non-Resident Indian (NRI)	Non-Resident Indian (NRI)	
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	More than 1 percentage of shareholding	
Name of the Shareholders (I)		Samco Securities Limited	Skylark Tracom Private Limited		Krishna Kumar Dharamshi Somaiya	Click here to go back
PAN (II)		AAICS2455A	AAMCS9299L		AWZPS2876D	Total
No. of the Shareholders (I)	213	1	1	960	1	1173
No. of fully paid up equity shares held (IV)	3209180	2049002	400000	437677	170111	3646857
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3209180	2049002	400000	437677	170111	3646857
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	22.46	14.34	2.8	3.06	1.19	25.52
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	3209180	2049002	400000	437677	170111	3646857
Class eg:y						
Total	3209180	2049002	400000	437677	170111	3646857
Total as a % of Total Voting rights	22.46	14.34	2.8	3.06	1.19	25.52
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi)						

(a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	22.46	14.34	2.8	3.06	1.19	25.52
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	3148278	2049002	400000	286925	0	3435203
Reason for not providing PAN						
Reason for not providing PAN						

