

General information about company	
Scrip code	533149
Name of the entity	Essar Securities Limited
Date of start of financial year	01-04-2017
Date of end of financial year	31-03-2018
Reporting Quarter	Half Yearly
Date of Report	30-09-2017
Risk management committee	Not Applicable

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Is there any change in information of board of directors compare to previous quarter

Yes

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes
1	Mr	Venkatesan Venkataramansinganallur	ABPPV2944N	00004010	Non-Executive - Independent Director	Not Applicable		26-09-2014		36	2	10	0	Textual Information(2)
2	Mr	Sujay Rajababu Sheth	AFLPS7366B	03329107	Non-Executive - Independent Director	Not Applicable		26-09-2014		36	3	4	3	Textual Information(3)
3	Mrs	Suparna Singh	AKCPS9769E	07142898	Non-Executive - Non Independent Director	Not Applicable		31-03-2015			2	1	0	
4	Mr	Somashekhar Basavaraj Malagi	ABWPM8890P	07626139	Non-Executive - Independent Director	Not Applicable		04-11-2016		6	1	3	0	Textual Information(4)

Text Block	
Textual Information(1)	Mr. S. V. Venkatesan (DIN 00004010) is re-appointed as an Independent Director of the Company for a term of 5 years w.e.f. April 1, 2017 to March 31, 2022 on the Board of the Company.
Textual Information(2)	Mr. Sujay Sheth, (DIN 03329107) is re-appointed as an Independent Director of the Company for a term of 5 years w.e.f. April 1, 2017 to March 31, 2022 on the Board of the Company.
Textual Information(3)	Mr. Somashekhar B. Malagi (DIN 07626139) is appointed as an Independent Non-Executive Director of the Company for a term of five years with effect from April 1, 2017 to March 31, 2022.

Annexure 1					
II. Composition of Committees					
Disclosure of notes on composition of committees explanatory					
Is there any change in information of committees compare to previous quarter				Yes	
Sr	Name Of Committee	Name of Committee members	Category 1 of directors	Category 2 of directors	Name of other committee
1	Audit Committee	Mr. Sujay Rajababu Sheth	Non-Executive - Independent Director	Member	
2	Audit Committee	Mr. Venkatesan Venkataramansinganallur	Non-Executive - Independent Director	Member	
3	Audit Committee	Mr. Somashekhar Basavaraj Malagi	Non-Executive - Independent Director	Member	
4	Nomination and remuneration committee	Mr. Sujay Rajababu Sheth	Non-Executive - Independent Director	Member	
5	Nomination and remuneration committee	Mr. Somashekhar Basavaraj Malagi	Non-Executive - Independent Director	Member	
6	Nomination and remuneration committee	Mrs. Suparna Singh	Non-Executive - Non Independent Director	Member	
7	Stakeholders Relationship Committee	Mr. Sujay Rajababu Sheth	Non-Executive - Independent Director	Member	
8	Stakeholders Relationship Committee	Mr. Somashekhar Basavaraj Malagi	Non-Executive - Independent Director	Member	
9	Stakeholders Relationship Committee	Mrs. Suparna Singh	Non-Executive - Non Independent Director	Member	

Annexure 1			
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III. Meeting of Board of Directors			
Disclosure of notes on meeting of board of directors explanatory			
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)
1	29-05-2017		
2		10-08-2017	72
3		07-09-2017	27

Annexure 1							
IV. Meeting of Committees							
Disclosure of notes on meeting of committees explanatory							
Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)	Name of other committee
1	Audit Committee	10-08-2017	Yes	Mr. Sujay Rajababu Sheth and Mr. Somashekhar Basavaraj Malagi	29-05-2017	72	
2	Audit Committee	07-09-2017	Yes	Mr. Venkatesan Venkataramansinganallur, Mr. Sujay Rajababu Sheth and Mr. Somashekhar Basavaraj Malagi			
3	Stakeholders Relationship Committee	10-08-2017	Yes	Mr. Sujay Rajababu Sheth and Mr. Somashekhar Basavaraj Malagi	29-05-2017	72	
4	Stakeholders Relationship Committee	07-09-2017	Yes	Mr. Sujay Rajababu Sheth, Mrs. Suparna Singh and Mr. Somashekhar Basavaraj Malagi			

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	Yes	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure III				
Annexure III to be submitted by listed entity at the end of 6 months after end of financial year along-with second quarter report of next financial year				
I. Affirmations				
Sr	Broad heading	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	No	Due to health issue
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	No	Due to preoccupation
4	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Signatory Details	
Name of signatory	Somashekhar Malagi
Designation of person	Director
Place	Mumbai
Date	13-10-2017

