

BSE LTD
ACKNOWLEDGEMENT

Acknowledgement No	: 2107201601084331	Date & Time : 21/07/2016 01:08:43 PM
Scrip Code	: 533149	
Entity Name	: ESSAR SECURITIES LIMITED	
Compliance Type	: Regulation 31 - Shareholding pattern	
Quarter / Period	: 30/06/2016	
Mode	: E-Filing	

General information about company	
Scrip code	533149
Name of the company	ESSAR SECURITIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg: y	Total	
(A)	Promoter & Promoter Group	1	10704557			10704557	74.92	10704557		10704557	74.92
(B)	Public	26247	3583197			3583197	25.08	3583197		3583197	25.08
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	26248	14287754			14287754		14287754		14287754	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				74.92			4286320	40.04	10704557
(B)	Public				25.08					2589335
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total							4286320	30	13293892

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	10704557			10704557	74.92	10704557		10704557	74.92
Sub-Total (A)(1)		1	10704557			10704557	74.92	10704557		10704557	74.92
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		1	10704557			10704557	74.92	10704557		10704557	74.92
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	2	200			200	0	200		200	0
(f)	Financial Institutions/ Banks	5	2356			2356	0.02	2356		2356	0.02
Sub-Total (B) (1)		7	2556			2556	0.02	2556		2556	0.02
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	25063	1990275			1990275	13.93	1990275		1990275	13.93
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	7	864625			864625	6.05	864625		864625	6.05
(e)	Any Other (specify)	1170	725741			725741	5.08	725741		725741	5.08
Sub-Total (B) (3)		26240	3580641			3580641	25.06	3580641		3580641	25.06
Total Public Shareholding (B) = (B)(1) + (B)(2) + (B)(3)		26247	3583197			3583197	25.08	3583197		3583197	25.08
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		26248	14287754			14287754	100	14287754		14287754	100
Total (A+B+C)		26248	14287754			14287754		14287754		14287754	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				74.92			4286320	40.04	10704557
Sub-Total (A) (1)				74.92			4286320	40.04	10704557
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				74.92			4286320	40.04	10704557
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0					0
(f)				0.02					120
Sub-Total (B) (1)				0.02					120
(3)	Non-institutions								
(a(i))				13.93					1213606
(a(ii))				6.05					864625
(e)				5.08					510984
Sub-Total (B) (3)				25.06					2589215
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				25.08					2589335
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					13293892
Total (A+B+C)							4286320	30	13293892

Any Other (specify)		
Searial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	ESSAR CAPITAL LIMITED	
PAN (II)	AABCE7257R	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	10704557	10704557
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	10704557	10704557
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	74.92	74.92
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	10704557	10704557
Class eg:y		
Total	10704557	10704557
Total as a % of Total Voting rights	74.92	74.92
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	74.92	74.92
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)	4286320	4286320
As a % of total Shares held (b)	40.04	40.04
Number of equity shares held in dematerialized form (XIV)	10704557	10704557

Reason for not providing PAN		

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.			
Searial No.	1	2	
Name of the Shareholders (I)	HITESH RAMJI JAVERI	HARSHA HITESH JAVERI	Click here to go back
PAN (II)	AABPJ4691H	AAEPJ7739F	Total
No. of fully paid up equity shares held (IV)	463299	250485	713784
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	463299	250485	713784
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.24	1.75	5
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	463299	250485	713784
Class eg:y			
Total	463299	250485	713784
Total as a % of Total Voting rights	3.24	1.75	5
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	3.24	1.75	5
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	463299	250485	713784
Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)					
Serial No.	1	2	3	4	
Category	Bodies Corporate	Bodies Corporate	Foreign Individuals or NRI	Overseas corporate bodies	
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	Category	Category	
Name of the Shareholders (I)		JUBILEE SHIPPOING & LOGISTICS HOLDINGS LIMITED			Click here to go back
PAN (II)		AABCF0118N			Total
No. of the Shareholders (I)	189	1	977	4	1170
No. of fully paid up equity shares held (IV)	249528	236500	237653	2060	489241
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	249528	236500	237653	2060	489241
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.75	1.66	1.66	0.01	3.42
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	249528	236500	237653	2060	489241
Class eg:y					
Total	249528	236500	237653	2060	489241
Total as a % of Total Voting rights	1.75	1.66	1.66	0.01	3.42
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.75	1.66	1.66	0.01	3.42
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	188984	236500	85500	0	274484
Reason for not providing PAN					

Reason for not providing PAN						
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