

[Home](#)[Validate](#)

General information about company

Scrip code	533149
Name of the entity	Essar Securities Limited
Date of start of financial year	01-04-2016
Date of end of financial year	31-03-2017
Reporting Quarter	Half Yearly
Date of Report	30-09-2016
Risk management committee	Not Applicable

Enter the quarter
ended date only

[Prev](#)[Next](#)

[Home](#)[Validate](#)

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

[Add Notes](#)

Is there any change in information of board of directors compare to previous quarter

Yes

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes
<div>AddDelete</div>														
1	Mr	S. V. Venkatesan	ABPPV2944N	00004010	Non-Executive - Independent Director	Not Applicable		26-09-2014		24	2	10	5	
2	Mr	N. B. Vyas	AAEPV9402H	00055499	Non-Executive - Independent Director	Not Applicable		26-09-2014		24	1	2	2	
3	Mr	Sujay Sheth	AFLPS7366B	03329107	Non-Executive - Independent Director	Not Applicable		26-09-2014		24	3	1	3	
4	Mrs	Suparna Singh	AKCPS9769E	07142898	Non-Executive - Non Independent Director	Not Applicable		31-03-2015			1	1	0	

[Prev](#)[Next](#)

Annexure 1					
II. Composition of Committees					
Disclosure of notes on composition of committees explanatory					Add Notes
Is there any change in information of committees compare to previous quarter					Yes
Sr	Name Of Committee	Name of Committee members	Category 1 of directors	Category 2 of directors	Name of other committee
<div>AddDelete</div> Enter only one committee member name in one row					
1	Audit Committee	Mr. S. V. Venkatesan	Non-Executive - Independent Director	Member	
2	Audit Committee	Mr. N. B. Vyas	Non-Executive - Independent Director	Member	
3	Audit Committee	Mr. Sujay Sheth	Non-Executive - Independent Director	Member	
4	Stakeholders Relationship Committee	Mr. N. B. Vyas	Non-Executive - Independent Director	Member	
5	Stakeholders Relationship Committee	Mr. Sujay Sheth	Non-Executive - Independent Director	Member	
6	Stakeholders Relationship Committee	Ms. Suparna Singh	Non-Executive - Non Independent Director	Member	
7	Nomination and remuneration committee	Mr. Sujay Sheth	Non-Executive - Independent Director	Member	
8	Nomination and remuneration committee	Mr. N. B. Vyas	Non-Executive - Independent Director	Member	
9	Nomination and remuneration committee	Ms. Suparna Singh	Non-Executive - Non Independent Director	Member	

PrevNext

[Home](#)[Validate](#)**Annexure 1****III. Meeting of Board of Directors**Disclosure of notes on meeting of board of directors
explanatory[Add Notes](#)**Sr****Date(s) of meeting (Enter dates of Previous quarter
and Current quarter in chronological order)****Maximum gap between
any two consecutive (in
number of days)**[Add](#)[Delete](#)

1

27-05-2016

2

12-08-2016

76

[Prev](#)[Next](#)

Validate

Next

V.
Sr
1
2
3
Pre

Home

Validate

Annexure 1	
Related Party Transactions	
Subject	
Whether prior approval of audit committee obtained	
Whether shareholder approval obtained for material RPT	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	
Disclosure of notes on related	
Disclosure of notes of material transaction	

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Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
Yes	
Yes	
Yes	
party transactions	<div>Add Notes</div>
n with related party	<div>Add Notes</div>

Next

[Home](#)[Validate](#)

Annexure 1

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Add Notes

[Prev](#)[Next](#)

Annexure III				
Annexure III to be submitted by listed entity at the end of 6 months after end of financial year along-with second quarter report of next financial year				
I. Affirmations				
Sr	Broad heading	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	No	Health issues
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	No	Health issues
4	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
Any other information to be provided				<div>Add Notes</div>

[Home](#)[Validate](#)

Signatory Details

Name of signatory	Ms. Suparna Singh
Designation of person	Director
Place	Mumbai
Date	14-10-2016

[Prev](#)