

**BSE LTD**  
**ACKNOWLEDGEMENT**

Acknowledgement No	: 1507201604512227	Date & Time : 15/07/2016 04:51:22 PM
Scrip Code	: 533149	
Entity Name	: ESSAR SECURITIES LIMITED	
Compliance Type	: Regulation 27(2)- Corporate Governance	
Quarter / Period	: 30/06/2016	
Mode	: E-Filing	

General information about company	
Scrip code	533149
Name of the entity	ESSAR SECURITIES LIMITED
Date of start of financial year	01-04-2016
Date of end of financial year	31-03-2017
Reporting Quarter	Quarterly
Date of Report	30-06-2016
Risk management committee	Not Applicable

Annexure I														
Annexure I to be submitted by listed entity on quarterly basis														
I. Composition of Board of Directors														
Disclosure of notes on composition of board of directors explanatory														
Is there any change in composition of board of directors compare to previous quarter														
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Notes
1	Mr	S. V. Venkatesan	ABPPV2944N	00004010	Non- Executive - Independent Director	Not Applicable		26-09-2014		21	3	5	5	
2	Mr	N. B. Vyas	AAEPV9402H	00055499	Non- Executive - Independent Director	Not Applicable		26-09-2014		21	1	2	1	
3	Mr	Sujay Sheth	AFLPS7366B	03329107	Non- Executive - Independent Director	Not Applicable		26-09-2014		21	3	1	3	
4	Ms	Suparna Singh	AKCPS9769E	07142898	Non- Executive - Non Independent Director	Not Applicable		31-03-2015			1	1	0	

## Annexure 1

### II. Composition of Committees

Disclosure of notes on composition of committees explanatory					
Is there any change in composition of committees compare to previous quarter					
Sr	Name Of Committee	Name of Committee members	Category 1 of directors	Category 2 of directors	Name of other committee
1	Audit Committee	Mr. S. V. Venkatesan	Non-Executive - Independent Director	Chairperson	
2	Audit Committee	Mr. N. B. Vyas	Non-Executive - Independent Director	Member	
3	Audit Committee	Mr. Sujay Sheth	Non-Executive - Independent Director	Member	
4	Stakeholders Relationship Committee	Mr. N. B. Vyas	Non-Executive - Independent Director	Chairperson	
5	Stakeholders Relationship Committee	Mr. Sujay Sheth	Non-Executive - Independent Director	Member	
6	Stakeholders Relationship Committee	Ms. Suparna Singh	Non-Executive - Non Independent Director	Member	
7	Nomination and remuneration committee	Mr. Sujay Sheth	Non-Executive - Independent Director	Chairperson	
8	Nomination and remuneration committee	Mr. N. B. Vyas	Non-Executive - Independent Director	Member	
9	Nomination and remuneration committee	Ms. Suparna Singh	Non-Executive - Non Independent Director	Member	

Annexure 1			
Annexure 1			
III. Meeting of Board of Directors			
Disclosure of notes on meeting of board of directors explanatory			
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)
1	12-02-2016		
2		27-05-2016	104

**Annexure 1**

**IV. Meeting of Committees**

Disclosure of notes on meeting of committees explanatory						
Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)
1	Audit Committee	17-05-2016	Yes	Mr. S.V.Venkatasana-Chairman, Mr.N.B.Vyas-Member, Mr.Sujay Seth-Member	04-02-2016	102
2	Stakeholders Relationship Committee	27-05-2016	Yes	Mr. N. B. Vyas-Chairman, Mr.Sujay Seth-Member, Ms.Suparna Singh-Member	04-02-2016	112

**Annexure 1****V. Related Party Transactions**

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	Yes	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes of material transaction with related party			Textual Information(1)

Text Block	
Textual Information(1)	Details of Material Related Party Transactions: NIL

## Annexure 1

### VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Text Block

Signatory Details	
Name of signatory	Ashish Vyas
Designation of person	Company Secretary
Place	Mumbai
Date	15-07-2016