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### General information about company

Scrip code	533149
Name of the entity	<b>Essar Securities Limited</b>
Date of start of financial year	01-04-2016
Date of end of financial year	31-03-2017
Reporting Quarter	Quarterly
Date of Report	31-12-2016
Risk management committee	Not Applicable

Enter the quarter  
ended date only

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Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Add Notes

Is there any change in information of board of directors compare to previous quarter

Yes

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes
<div>AddDelete</div>														
1	Mr	S. V. Venkatesan	ABPPV2944N	00004010	Non-Executive - Independent Director	Not Applicable		26-09-2014		27	2	10	5	
2	Mr	N. B. Vyas	AAEPV9402H	00055499	Non-Executive - Independent Director	Not Applicable		26-09-2014	04-11-2016	24	1	2	2	
3	Mr	Sujay Sheth	AFLPS7366B	03329107	Non-Executive - Independent Director	Not Applicable		26-09-2014		27	3	1	3	
4	Mrs	Suparna Singh	AKCPS9769E	07142898	Non-Executive - Non Independent Director	Not Applicable		31-03-2015			1	1	1	
5	Mr	Somashekhar B Malagi	ABWPM8890P	07626139	Non-Executive - Non Independent Director	Not Applicable		04-11-2016			1	2	0	

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Annexure 1

II. Composition of Committees

Disclosure of notes on composition of committees explanatory

Add Notes

Is there any change in information of committees compare to previous quarter

Yes

Sr	Name Of Committee	Name of Committee members	Category 1 of directors	Category 2 of directors	Name of other committee
<div><div>AddDelete</div><div>Enter only one committee member name in one row</div></div>					
1	Audit Committee	Mr. S. V. Venkatesan	Non-Executive - Independent Director	Member	
2	Audit Committee	Mr. Sujay Sheth	Non-Executive - Independent Director	Member	
3	Audit Committee	Mr. Somashekhar B Malagi	Non-Executive - Non Independent Director	Member	
4	Stakeholders Relationship Committee	Mr. Sujay Sheth	Non-Executive - Independent Director	Member	
5	Stakeholders Relationship Committee	Ms. Suparna Singh	Non-Executive - Non Independent Director	Member	
6	Stakeholders Relationship Committee	Mr. Somashekhar B Malagi	Non-Executive - Non Independent Director	Member	
7	Nomination and remuneration committee	Mr. Sujay Sheth	Non-Executive - Independent Director	Member	
8	Nomination and remuneration committee	Mr. S. V. Venkatesan	Non-Executive - Independent Director	Member	
9	Nomination and remuneration committee	Ms. Suparna Singh	Non-Executive - Non Independent Director	Member	

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explanatory[Add Notes](#)

Sr

Date(s) of meeting (Enter dates of Previous quarter  
and Current quarter in chronological order)Maximum gap between  
any two consecutive (in  
number of days)[Add](#)[Delete](#)

1

12-08-2016

2

04-11-2016

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Disclosure of notes on meeting of committees explanatory

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Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)	Name of other committee
<a href="#">Add</a> <a href="#">Delete</a>							
1	Audit Committee	04-11-2016	Yes	Mr. S.V Venkatesh-Chairman, Mr. S	12-08-2016	83	
2	Nomination and remuneration committee	04-11-2016	Yes	Mrs. Suparna Singh-Chairman, Mr. S	12-08-2016	83	
3	Stakeholders Relationship Committee	04-11-2016	Yes	Mrs. Suparna Singh-Chairman, Mr. S	12-08-2016	83	

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Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	Yes	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			<a href="#">Add Notes</a>
Disclosure of notes of material transaction with related party			<a href="#">Add Notes</a>

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## Annexure 1

## VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 <b>a. Audit Committee</b>	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>b. Nomination &amp; remuneration committee</b>	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>c. Stakeholders relationship committee</b>	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>d. Risk management committee (applicable to the top 100 listed entities)</b>	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	<b>Any comments/observations/advice of Board of Directors may be mentioned here:</b>	<a href="#">Add Notes</a>

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### Signatory Details

Name of signatory	Ms. Suparna Singh
Designation of person	<b>Director</b>
Place	Mumbai
Date	13-01-2017

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